

---

**Appendix A**

*Proposed Protection, Mitigation, and Enhancement Measures*



ADMIRALTY INLET PILOT TIDAL PROJECT  
FERC PROJECT NO. 12690

**PROPOSED PROTECTION, MITIGATION,  
AND ENHANCEMENT MEASURES**

(submitted with the Final Application for a New Pilot Project License)

Submitted by:

Public Utility District No. 1 of Snohomish County



February 29, 2012



**TABLE OF CONTENTS**

1.0 ACOUSTIC MONITORING PLAN ..... 1

2.0 NEAR-TURBINE MONITORING PLAN ..... 2

3.0 MARINE MAMMAL MONITORING PLAN..... 4

4.0 BENTHIC HABITAT MONITORING PLAN ..... 6

5.0 DERELICT GEAR MONITORING PLAN ..... 6

6.0 WATER QUALITY MONITORING PLAN ..... 6

7.0 PROJECT SAFEGUARD PLANS ..... 7

8.0 HORIZONTAL DIRECTIONAL DRILLING PLAN..... 7



**PROPOSED PROTECTION, MITIGATION,  
AND ENHANCEMENT MEASURES  
for the Admiralty Inlet Pilot Tidal Project**

---

**1.0 ACOUSTIC MONITORING PLAN**

Within 60 days of issuance of the License, Public Utility District No. 1 of Snohomish County (the District) shall file with the Federal Energy Regulatory Commission (the Commission), for approval, an Acoustic Monitoring Plan. The Acoustic Monitoring Plan shall define the District's pilot license obligations with respect to the monitoring of the Project noise footprint in the local vicinity of the Admiralty Inlet Pilot Tidal Project, Project No. 12690 (Project), and in regards to the evaluation of Project effects on such conditions.

The Acoustic Monitoring Plan is intended to (1) characterize received levels of sound in the vicinity of the project, specifically to establish the relation between power generation state and noise emitted and (2) monitor for long-term shifts in the sound generated by the turbines. Drifting hydrophones shall be utilized to achieve the first objective. Hydrophones installed on the turbine foundation shall be utilized to achieve the second objective. In both cases, Automatic Identification System (AIS) transmissions shall be monitored to provide vessel traffic context (vessel traffic currently dominates the ambient noise budget, Bassett et al., *submitted*). An estimate for the acoustic disturbance that shall be generated by the pair of turbines, in comparison to existing ambient noise variability is presented in Polagye et al. (*in prep*).

The Acoustic Monitoring Plan shall be based on the version included with the District's June 24, 2011, response to the Commission's Additional Information Request. In implementing the Acoustic Monitoring Plan, the District shall confer with the Marine Aquatic Resource Committee (MARC) as appropriate on certain technical issues and for data interpretation associated with the monitoring effort. This shall include consideration of results from monitoring efforts and subsequent adjustments to monitoring and removal methods. The District shall follow the procedures described in the Adaptive Management Framework (Appendix H) when conferring with the MARC on implementation of the Acoustic Monitoring Plan and when considering how to address the results of the monitoring. In addition, the Acoustic Monitoring Plan shall include the following adaptive management triggers and subsequent actions:

1. If long-term monitoring or post-installation characterization surveys indicate received levels at any distance from the operating turbines has the potential to injure marine mammals (MMPA Level A Injury Take is currently considered to occur for all exposures above 180 dB SPL for cetaceans and 190 dB SPL for pinnipeds<sup>1</sup>), the District shall modify Project operations to ensure that received levels are below this threshold. The District shall develop modifications in consultation with the MARC. Until the modifications are implemented, the District shall keep the brake engaged on the turbine or other take actions to reduce received levels to below the thresholds cited above. The District may also need to modify its monitoring plan if the information shows this is necessary for proper resource protection purposes.
2. If post-installation characterization surveys identify an ensonified area larger than the area allowed under the MMPA Authorization within which received levels exceed

---

<sup>1</sup> NMFS is currently reviewing its acoustic criteria and this threshold may be altered accordingly in the future.

thresholds for Level B Harassment (MMPA Level B harassment is currently consider to occur for all exposures above 120 dB SPL), the District shall coordinate with the MARC to determine if modifications to Project operations are necessary to reduce the ensonified area to be consistent with the MMPA Authorization. The District shall develop modifications to the Project and/or monitoring plan in consultation with the MARC.

3. If initial post-installation characterization surveys suggest that the area over which received levels exceed thresholds for Level B Harassment (as defined under Trigger 2) is larger than pre-installation estimates, the MARC shall be notified and the characterization survey area shall be expanded by the MARC's consent. Likewise, if the initial post-installation characterization surveys suggest that the area over which received levels exceed thresholds for Level B Harassment is smaller than pre-installation estimates, the MARC shall be notified and the characterization survey area shall be contracted by the MARC's consent.

All modifications required by the adaptive management triggers shall be developed in consultation with the MARC. Additional context and a summary of the relationship between the Acoustic Monitoring Plan, the Near-Turbine Monitoring Plan, the Marine Mammal Monitoring Plan, and the Benthic Habitat Plan, can be found in Attachment 1.

The District shall develop the Acoustic Monitoring Plan in consultation with the MARC. The District shall allow a minimum of thirty (30) days for members of the MARC to comment and make recommendations before submitting the AM Plan to the Commission. When filing the AM Plan with the Commission, the District shall include documentation of consultation; copies of comments and recommendations; and specific descriptions of how comments and recommendations from the MARC are accommodated by the District's plan. If the District does not adopt a recommendation, the filing shall include the District's reasons based upon Project-specific information.

Upon Commission approval, the District shall implement the AM Plan.

## **2.0 NEAR-TURBINE MONITORING PLAN**

Within 60 days of issuance of the License, the District shall file with the Commission, for approval, a Near-Turbine Monitoring Plan. The Near-Turbine Monitoring Plan shall define the District's pilot license obligations with respect to the monitoring of aquatic species in the immediate vicinity of the Project and in regards to the evaluation of Project effects on such conditions.

The objective of the Near-Turbine Monitoring Plan is to characterize the species present in the immediate vicinity of the turbine and whether or not those species are interacting directly with the turbine rotor. This plan shall utilize stereo imaging systems installed on both turbines. The need to periodically maintain these cameras (biofouling, repositioning) is the principal driver for the development of a recoverable instrumentation package on the turbine foundations.

The revised Near-Turbine Monitoring Plan shall be based on the version included with the District's June 24, 2011, response to the Commission's Additional Information Request. In



implementing the Near-Turbine Monitoring Plan, the District shall consult with the MARC as appropriate on technical issues and data interpretation associated with the monitoring. Such consultation shall include consideration of monitoring results, subsequent adjustments to monitoring methods and, as needed, the development and implementation of adequate mitigation measures to avoid adverse impacts to aquatic resources. In particular, the District shall adopt the following adaptive management triggers and subsequent actions:

1. If monitoring suggests injury or mortality to any Endangered Species Act listed species beyond that authorized in the Project's Incidental Take Statement, the turbine brake shall be applied and the Project shut down. The District shall notify the MARC within one week and coordinate with the MARC to determine if modifications to the plan or Project operations are necessary and if Project operations can be restarted.
2. If monitoring shows any aquatic species passing through the turbine rotor (within the limits specified in the Project's Take Authorization), the District shall notify the MARC within two weeks and coordinate with the MARC to determine if modifications to the plan or Project operations are necessary.
3. If monitoring detects any marine mammals in the vicinity of the turbine rotor, the District shall notify the MARC within two weeks and coordinate with the MARC to determine if modifications to the plan or Project operations are necessary.
4. If monitoring shows substantial differences in species assemblage in the vicinity of the turbine rotor on an annual basis, the District shall report these results to the MARC during annual reporting efforts and shall coordinate with the MARC to determine if modifications to the plan or Project operations are necessary.
5. If the monitoring system becomes inoperative, the District shall notify the MARC within 48 hours and convene the MARC within one week. The MARC shall determine if modifications to the plan or Project operations are necessary. The action taken by the MARC shall likely depend on how long the project has been operating and what observations of aquatic species are available at the time the monitoring system becomes inoperative. For the first several months, Project shutdown may be required because the effects of the turbine shall still be highly uncertain. However, after several months of data collection, temporary loss of monitoring capability would be less serious.
6. After one month of Project operation, the District shall prepare and provide to the MARC a preliminary review of monitoring system effectiveness. After four months, the District shall prepare and provide to the MARC a report describing the inferred behavioral changes from artificial lighting. The District shall coordinate with the MARC to modify, as necessary, the sampling regime and analysis methods. The District shall also work with the MARC to establish a schedule for further review and modification. Because this type of monitoring has not previously been attempted at tidal hydrokinetic projects, progressive evolution of this plan is anticipated by both the District and the MARC.

All modifications required by the adaptive management triggers shall be developed in consultation with the MARC. Additional context and a summary of the relationship between the Acoustic Monitoring Plan, the Near-Turbine Monitoring Plan, the Marine Mammal Monitoring Plan, and the Benthic Habitat Plan, can be found in Attachment 1.

The District shall develop the Near-Turbine Monitoring Plan in consultation with the MARC. The District shall allow a minimum of thirty (30) days for members of the MARC to comment and make recommendations before submitting the Near-Turbine Monitoring Plan to the Commission. When filing the Near-Turbine Monitoring Plan with the Commission, the District shall include documentation of consultation; copies of comments and recommendations; and specific descriptions of how comments and recommendations from the MARC are accommodated by the District's plan. If the District does not adopt a recommendation, the filing shall include the District's reasons based upon Project-specific information.

Upon Commission approval, the District shall implement the Near-Turbine Monitoring Plan.

### **3.0 MARINE MAMMAL MONITORING PLAN**

Within 60 days of issuance of the License, the District shall file with the Commission, for approval, a Marine Mammal Monitoring Plan. The Marine Mammal Monitoring Plan shall define the District's pilot license obligations with respect to the monitoring of marine mammals in the Project area.

The objective of the Marine Mammal Monitoring Plan is to improve the understanding of how marine mammals interact with operating tidal turbines. The primary considerations are attraction, avoidance, or change in activity state as a consequence of exposure to noise or aggregations of prey in the vicinity of the turbine. Particular attention is given to Southern Resident killer whales (given their status as endangered under the Endangered Species Act) and harbor porpoise (given their near-ubiquitous presence in the project area which potentially provides greater power to detect changes). A variety of monitoring tools shall be employed to achieve these objectives. General marine mammal monitoring intended to detect behavioral changes in the region where turbine noise is detectable by marine mammals shall be informed by shore observers stationed on Admiralty Head, overlooking the Project area. Harbor porpoise monitoring shall utilize both shore observers and click detectors on the turbine foundations. Southern Resident killer whale monitoring shall utilize a combination of shore observers, localizing hydrophones (either on the turbine foundation or a vertical array deployed from a surface vessel), the hydrophone at Port Townsend, and the stereo imaging system (Near-Turbine Monitoring Plan). At times when Southern Resident killer whales are in the Project area monitoring will be intensified in a "rapid-response" mode with respect to shoreline observers, passive acoustic localization of vocalizations, and duty-cycle for the stereo imaging system.

In implementing the Marine Mammal Monitoring Plan, the District shall consult with the MARC as appropriate on technical issues and data interpretation associated with the monitoring. Such consultation shall include consideration of monitoring results, subsequent adjustments to monitoring methods and, as needed, the development and implementation of adequate mitigation measures to avoid adverse impacts to marine mammals. Triggers shall be based on analyses with

a significance level of 0.05 percent. The specific adaptive management triggers and subsequent actions for the Marine Mammal Monitoring Plan shall include the following:

1. If an SRKW has been found to be injured (lacerations or discoloration to extremities) in the Salish Sea within a week of a known transit through Admiralty Inlet, the District shall consult with the MARC to discuss whether the injury could have been caused by the Project. An independent experienced veterinarian shall be part of MARC for these discussions. If the MARC determines that the injury was caused by the Project, Project operations shall immediately cease. The MARC shall discuss whether Project operations can be adequately modified to all Project operations to continue. The District shall make the necessary Project modifications and shall not restart operations until the MARC determines that it is safe to do so.
2. If a listed cetacean occurs within 500 meters, or listed pinniped occurs within 100 meters of an installation, maintenance, or removal vessel, Project operations shall be halted and applicable vessel regulations on marine mammal protection shall be observed. Once the listed cetacean or pinniped leaves the vicinity, Project operations shall resume.
3. If a 30-percent change in SRKW annual transit rate or Inlet side usage is detected, the District shall consult with the MARC and determine if modification of the Project or monitoring plan is necessary.
4. If a 30% decrease in porpoise echolocation activity is detected by the C-PODs on the turbine foundation, the District shall consult with the MARC and determine if modification of the monitoring plan is necessary to evaluate the extent of this behavioral change.
5. If shoreline observations of porpoise, harbor seal and Steller sea lions demonstrate a significant avoidance response within the area over which turbine noise is detectable by these marine mammals, the District shall consult with the MARC and determine if modification of the Project or monitoring plan is necessary.

The final Marine Mammal Monitoring Plan may include additional adaptive management triggers and subsequent actions. All modifications required by the adaptive management triggers shall be developed in consultation with the MARC. Additional context and a summary of the relationship between the Acoustic Monitoring Plan, the Near-Turbine Monitoring Plan, the Marine Mammal Monitoring Plan, and the Benthic Habitat Plan, can be found in Attachment 1.

The District shall develop the Marine Mammal Monitoring Plan in consultation with the MARC. The District shall allow a minimum of thirty (30) days for members of the MARC to comment and make recommendations before submitting the Marine Mammal Monitoring Plan to the Commission. When filing the Marine Mammal Monitoring Plan with the Commission, the District shall include documentation of consultation; copies of comments and recommendations; and specific descriptions of how comments and recommendations from the MARC are accommodated by the District's plan. If the District does not adopt a recommendation, the filing shall include the District's reasons based upon Project-specific information.

Upon Commission approval, the District shall implement the Marine Mammal Monitoring Plan.

#### **4.0 BENTHIC HABITAT MONITORING PLAN**

The District requests that the Commission approve the District's Benthic Habitat Monitoring Plan. The Benthic Habitat Monitoring Plan is attached as Appendix B to the Project's February 29, 2012, Final License Application. This plan was developed in consultation with the MARC and Project stakeholders.

The objective of the Benthic Habitat Monitoring Plan is to monitor for changes to benthic ecology caused by the turbine foundation and installation/maintenance anchors (e.g., reef effects) or wake (e.g., accumulation of fine sediments). To accomplish this objective, the District shall (1) characterize and describe local benthic habitats following Project installation in the vicinity of the two turbines and at six selected sampling locations; (2) provide observations of fish abundance and size; (3) provide habitat descriptions associated with observations of fish use in these areas; (4) review data relative to previous data sets; and (5) consult with the MARC to consider modification to this Plan in response to the results of benthic habitat monitoring efforts.

The principal tool for this monitoring shall be an ROV deployed during periods of weak currents (i.e., diurnal inequalities).

#### **5.0 DERELICT GEAR MONITORING PLAN**

The District requests that the Commission approve the District's Derelict Gear Monitoring Plan. The Derelict Gear Monitoring Plan is attached as Appendix C to the Project's February 29, 2012, Final License Application. This plan was developed in consultation with the MARC and Project stakeholders.

The Derelict Gear Monitoring Plan defines the District's obligations with respect to the monitoring for derelict gear that is snared or collected on any structure associated with the Project and the subsequent removal of such derelict gear.

#### **6.0 WATER QUALITY MONITORING PLAN**

The District requests that the Commission approve the District's Water Quality Monitoring Plan. The Water Quality Monitoring Plan is attached as Appendix D to the Project's February 29, 2012, Final License Application. This plan was developed in consultation with the MARC and Project stakeholders.

The Water Quality Monitoring Plan supports the District's applications for aquatic resource permits and authorizations, including a 401 Water Quality Certification from the Washington Department of Ecology (Ecology). The Plan describes the various Project activities that take place in or near the water and the steps the District shall take to prevent impacts on water quality, monitor for such impacts, and respond to any impacts that may occur.

## **7.0 PROJECT SAFEGUARD PLANS**

The District requests that the Commission approve the District’s Project Safeguard Plans. The Project Safeguard Plans are attached as Appendix E to the Project’s February 29, 2012, Final License Application. This plan was developed in consultation with the MARC and Project stakeholders.

The Project Safeguard Plans describe the procedures the District shall undertake to safeguard the public and environmental resources for the Project. The plans address (1) Project and public safety, (2) navigational safety, (3) emergency shutdown of one or both turbines, and (4) removal of the Project and restoration of the site, if required.

## **8.0 HORIZONTAL DIRECTIONAL DRILLING PLAN**

The District requests that the Commission approve the District’s Horizontal Directional Drilling (HDD) Plan. The HDD Plan is attached as Appendix F to the Project’s February 29, 2012, Final License Application. This plan was developed in consultation with the MARC and Project stakeholders.

The HDD Plan for the Project establishes procedures that the District shall use to install the required submarine cable shore end conduit(s) for the Project, including the required support services for HDD operations such as diving, surveying, and vessel support.



## **Appendix A – Attachment 1**

---

*Post-Installation Environmental Monitoring Summary*





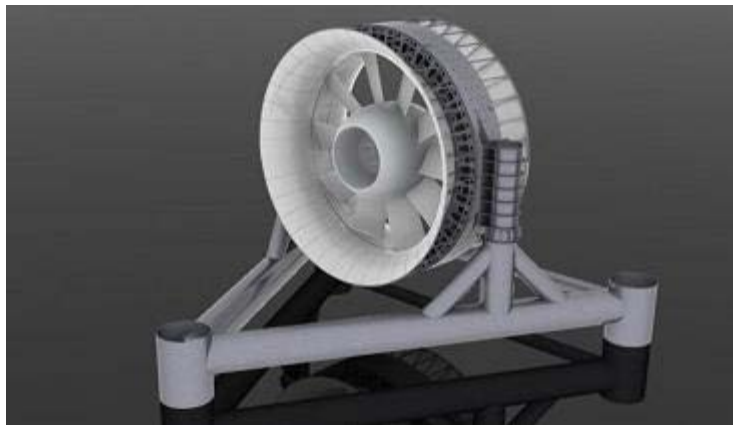
# Post-Installation Environmental Monitoring Summary

## 1 Introduction

This document provides a summary of all post-installation environmental monitoring planned for Snohomish County Public Utility District's tidal energy pilot demonstration project in northern Admiralty Inlet, Puget Sound, Washington. Since several technical aspects of post-installation environmental monitoring remain under development, the monitoring approach described here may change prior to turbine deployment. The objective is to provide a concise summary of proposed monitoring activities in order to provide context for individual monitoring plans. Section 2 describes the tidal turbines and their deployment locations. Section 3 provides a summary of plan objectives and the rationale for pursuing these objectives. Section 4 describes the monitoring infrastructure and instrumentation. Section 5 describes how these resources are proposed to address specific hypotheses.

## 2 Project Description

The proposed demonstration project consists of two turbines manufactured by OpenHydro, an Irish turbine developer. Each of these turbines has a 6 m diameter outer shroud, as shown in Figure 1. These will be deployed on a gravity tri-frame, with tubular cans contacting the seabed at the vertices. Turbine hub height will be 10 m above the seabed. The OpenHydro turbines are fixed-pitch, high-solidity rotors with an open center. The rotor cassette is the single moving part and is supported by water-lubricated bearings. A permanent magnet generator is contained in the shroud surrounding the blades. As such, the turbine has a single moving part. Anti-fouling coatings are applied to the interior surface of the shroud, hub, and rotor blades, but the gravity frame (steel, ballasted by concrete and aggregate) is left bare. The turbine shown in Figure 1 represents the 10 m version of 6<sup>th</sup> Generation technology. The turbines deployed in Puget Sound will be 6 m variants of this generation technology.



**Figure 1 – 10 m OpenHydro turbine. Blade geometry, shroud geometry, and tri-frame design reflect 6<sup>th</sup> Generation technology.**

The turbines will be deployed in northern Admiralty Inlet, Puget Sound, Washington. Admiralty Inlet is a constricted sill separating the deep Main Basin of Puget Sound from the Straits of Juan de Fuca and Straits of Georgia. At the narrowest point, between Admiralty Head and Point Wilson, the channel is approximately 5 km wide and 70 m deep. Excepting a small exchange through Deception Pass, the entire tidal prism of Puget Sound passes through this constriction, giving rise to tidal currents that routinely exceed 3 m/s (6 knots) at mid-water. The project site is approximately 1 km SE of Admiralty Head in 55 m of water (Figure 2). The project location was chosen on the basis of strong tidal currents (intensified by

the proximity to the headland), negligible seabed slope (necessary to deploy the gravity foundation), separation from high vessel traffic areas (federal navigation lanes, ferry route), and ease of cable routing back to shore.

During deployment, the turbines will be lowered the seabed by the three points on the triangular base shown in Figure 1. Hydraulic jacks are used to connect to the frame and are detached and recovered once the turbine is in position on the seabed. During recovery, a frame is positioned over the subsea base. The forward face of the shroud (facing the apex of the triangular base) is used to align the recovery frame. Hydraulics on the frame then engage with the subsea base and the entire turbine is recovered, much in the same manner as it is deployed. Each turbine will be connected to shore by a separate power cable. These cables will also provide power for monitoring instrumentation and fiber optic communication with the turbine and monitoring instrumentation.

The seabed is primarily cobbles (softball size and larger) intermixed with shell hash, gravel, and boulders (Greene, 2011). Cobbles and boulders are colonized by barnacles, sponges, and algae. Consolidated sediments underlay the cobble layer (Golder Associates, 2011; Landau Associates, 2011). The water column is generally well mixed, with weak stratification occurring only during neap tides. Turbidity is low ( $< 1$  NTU), though there is considerable biological detritus at depth (Polagye and Thomson, 2010). Owing to the high level of commercial vessel traffic, mean broadband noise levels are relatively high at 117 dB re  $1 \mu\text{Pa}$  (Bassett et al., *submitted*). Broadband received levels range from less than 100 dB during the quietest periods to more than 140 dB when vessels are in the immediate area. Strong currents also mobilize the gravel and shell hash on the seabed, periodically generating noise at higher frequencies (5-50 kHz, Bassett et al., *in prep*).

The biological environment is less-well understood, owing to the difficulty of conducting biological studies in high flow environments. The area is utilized by a number of marine mammal species (Southern Resident killer whales being the most notable due to their endangered and iconic status). Harbor porpoise are routinely present at the site with a strong diel pattern (much higher echolocation activity at night, Tollit et al., 2010; Cavagnaro et al., *in prep*). Harbor porpoise are much more common at this site than for other tidal energy sites where comparable data exists (Strangford Lough, Northern Ireland; Minas Passage, Nova Scotia). Several migratory fish species transit through the area, though spatial and temporal distributions are not well-understood.

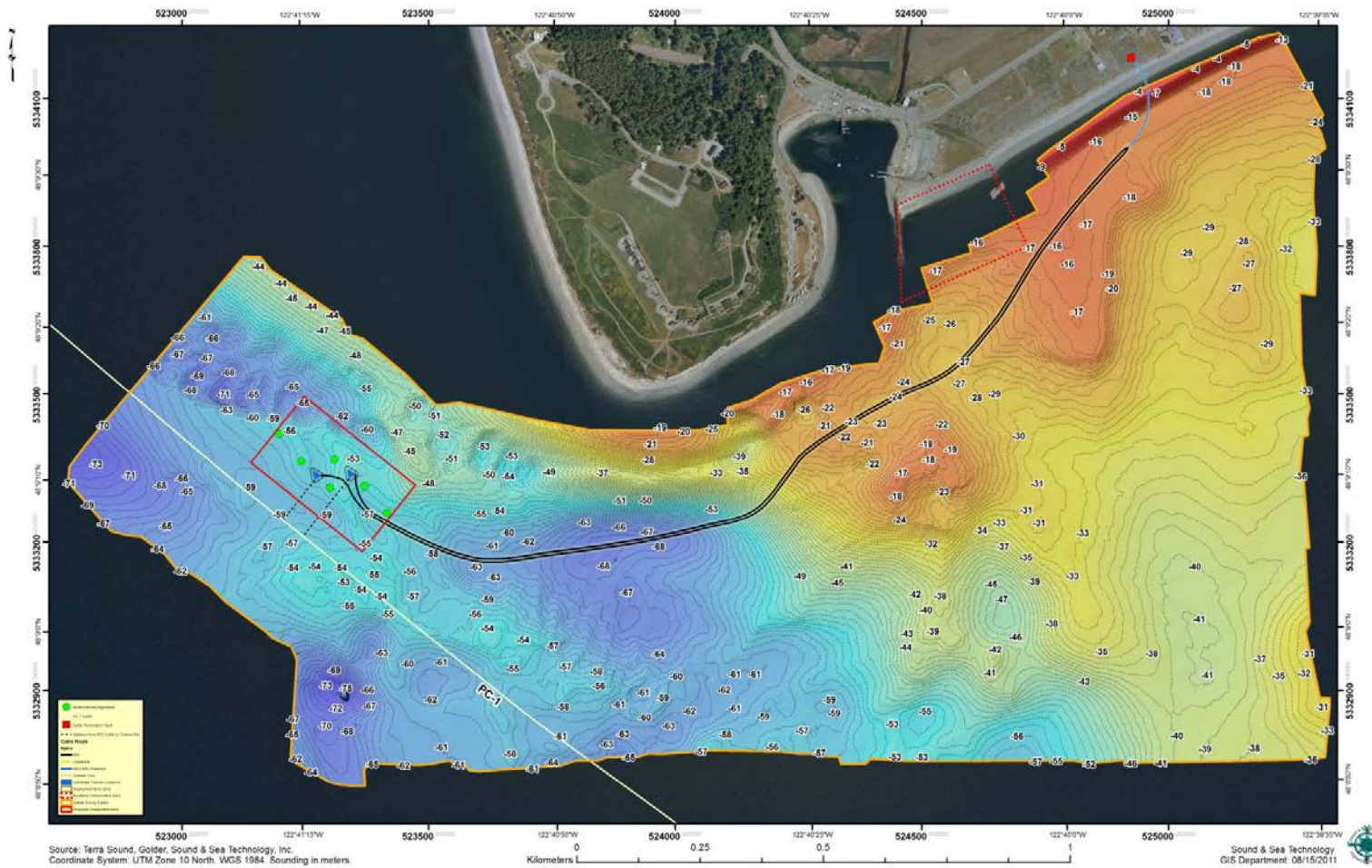


Figure 2 – Turbine deployment location in northern Admiralty Inlet. Blue triangles denote turbines, each of which is connected back to shore via a separate power cable. Dashed red polygon to the east of Keystone Harbor is a marine protected area.

### 3 Environmental Monitoring Objectives

Before discussing the tools that will be applied to environmental monitoring, it is first helpful to understand the desired objectives for environmental monitoring at the pilot-scale. The following hierarchical framework is adopted for discussion purposes:

- *Stressor*: a characteristic of tidal turbine operation (e.g., rotating blades, noise, EMF)
- *Effect*: a detectable or measureable alteration to the environment caused by a stressor
- *Change*: a change threshold denoting environmental significance
- *Impact*: a negative change
- *Benefit*: a positive change

At the scale of this project, environmental changes are unlikely (see Polagye et al. (2011) for a complete discussion). This pilot project does, however, provide a unique opportunity to collect data about environmental effects that could become changes (impacts or benefits) for larger scale projects. Developing this information is crucially important for both resource agencies and industry. This pilot project is intended as a learning tool and, over the course of the project, the District will work with regulators and stakeholders through an Adaptive Management framework to maximize knowledge gain and transfer.

The focus study areas for this project are in the areas of static effects (e.g., presence of device foundation), dynamic effects (e.g., rotating blade), and acoustic effects. Studies are described in four monitoring plans, as summarized in Section 5. Some plans focus on stressors, while other focus on stressor-receptor interactions. This is not intentional, merely a product of how these plans evolved through collaborative discussion. Each of the plans also includes resource protection triggers based on monitoring data, which are not described in this summary. Over the course of project operation, other studies may need to be developed to address new questions or close gaps identified in these monitoring plans. The four plans and the areas addressed are:

- *Benthic Habitat Monitoring*: static effects on near-field physical environmental, habitat, and fish
- *Near-turbine Monitoring*: dynamic effects on fish and marine mammals at ranges up to several meters from the turbine.
- *Acoustic Monitoring*: acoustic stressor produced by the turbine in operation.
- *Marine Mammal Monitoring*: avoidance, attraction, or change in behavioral state for marine mammals exposed to with static, dynamic, or acoustic stressors.

This study prioritization is driven by the outcomes of an environmental workshop (Polagye et al., 2011) that brought together over seventy experts from academia, regulatory agencies, and industry drawn from the US, Canada, and Europe. Workshop discussions focused on the potential significance of stressor-receptor interactions and the uncertainty around those interactions at both pilot and commercial scales of development. Workshop participants identified critical knowledge gaps that hindered their assessment of environmental risks and recommended monitoring priorities for pilot-scale deployments.

Figure 3 presents the stressor-reception interaction matrix developed by workshop participants for *commercial-scale* deployments (generalized over all sites and all turbine technologies). The color the severity of a potential interaction (i.e., red indicates a potentially significant interaction while green indicates a low significance interaction). Similarly, the number of triangles denotes the uncertainty around the significance of this interaction (e.g., three red triangles denote high uncertainty). Areas that are of

potentially high significance but also have high uncertainty (yellow/red cells with three red triangles) should be focus areas for pilot project monitoring, in a general sense. However, the range of potential interactions in this category is too broad for any single pilot project to study all of them and prioritization is required.

The following considerations have helped to prioritize plans for pilot-scale monitoring at this project:

- Studies of cumulative effects of multiple stressors from a tidal energy project (defined by the workshop participants) and ecosystem interactions are not possible because of the pilot scale of the project. Consequently, no studies of cumulative effects of multiple stressors from a tidal energy project or ecosystem interactions are proposed. One workshop recommendation is to reduce this uncertainty through monitoring individual stressor-receptor interactions, which is a focus area for this project.
- Energy removal and far-field environmental effects (e.g., changes on the scale of an entire estuary) will be immeasurably small at the pilot scale and cannot be monitored for this project (Polagye et al., 2009). Resolving uncertainty in this area is a focus area for the US Department of Energy’s National Laboratories and National Marine Renewable Energy Centers.
- Electromagnetic and chemical effects may be significant at the commercial scale, but at the pilot scale, the signal to noise ratio will be very small. Studies of these interactions are, at present, best performed in laboratories and are focus areas for the National Laboratories.

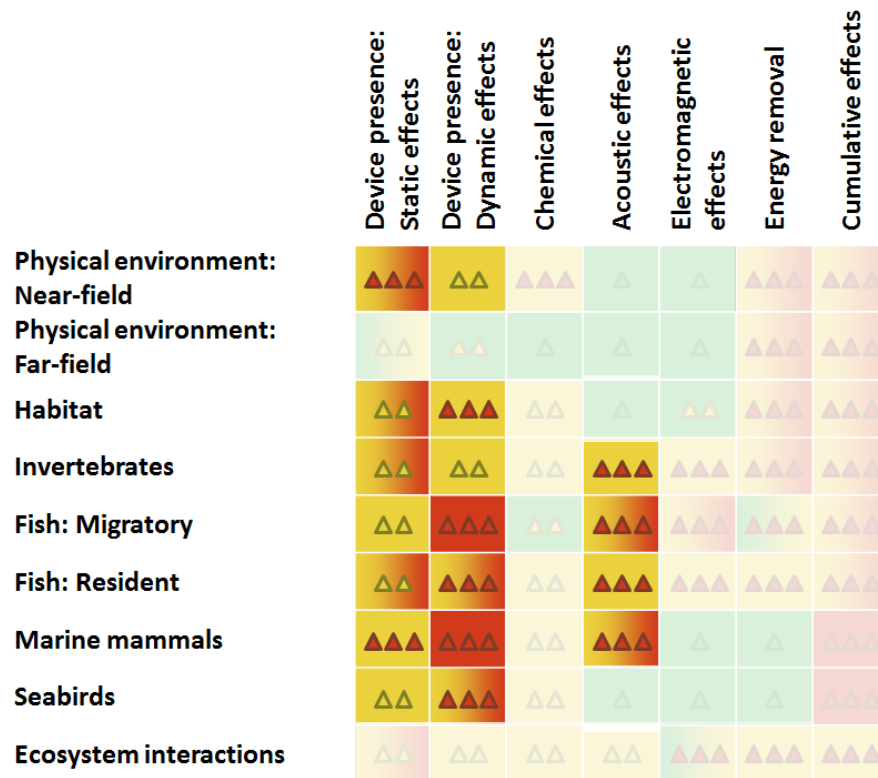


Figure 3 – Commercial-scale deployment generalized stressor/receptor significance (on a gradient green = low, red = high) and uncertainty (one green triangle = low uncertainty, two yellow triangles = moderate uncertainty, three red triangles = high uncertainty). From, Polagye et al. (2011), emphasizing focus study areas for this pilot project.

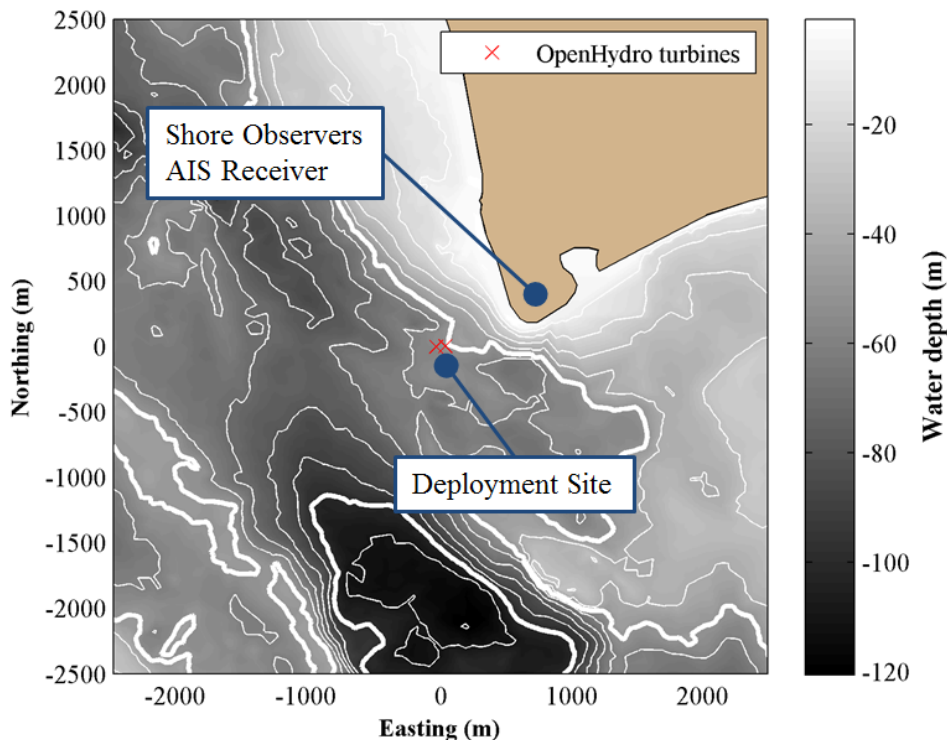
## 4 Post-Installation Monitoring Tools

Each of the monitoring objectives summarized above requires specific tools. Post-installation monitoring will be conducted from shore, vessels, and instruments deployed on the turbine foundation, as described in the following sections. Of these, the approach for deploying and maintaining instrumentation on the turbine foundation is the area that remains under most active technical development.

### 4.1 Shore Monitoring

Three types of shore-based monitoring are proposed: human observers, passive acoustic monitoring, and vessel tracking.

The first are observers positioned on Admiralty Head, overlooking the project area as shown in Figure 4. These observers are intended to primarily monitor the position of marine mammals relative to the project area. Observers will use a combination of video and theodolite tracking, mirroring the approach taken by Denardo et al. (2001) to monitor killer whale behavior in Norway. The theodolite tracks an individual with high spatial accuracy (e.g., < 2 m uncertainty at a range of 2 km), while the video is used to monitor the spacing and behavior of animals within a group. The objective of this monitoring is to identify attraction, avoidance, or change in behavioral state associated with exposure to turbine noise as marine mammals move through the ensonified area. Pre-installation estimates (Polagye et al., *in prep*) indicate that marine mammals will only detect turbine noise with high probability within a few hundred meters of the turbine due to expected variations in turbine noise output with tidal state and variations in ambient noise with vessel traffic (frequencies less than 1 kHz) and tidal state (frequencies greater than 1 kHz).



**Figure 4 – Project area with location of shore observer station noted on Admiralty Head.**

The second is a broadband hydrophone deployed at the Port Townsend Marine Science Center. This hydrophone is intended to increase detections of Southern Resident killer whale vocalizations as they transit through the inlet. In combination with existing observer networks and detections of vocalizations

by hydrophones on the turbine foundation, notification of a Southern Resident killer whale transit would result in a rapid-response intensification of observations from several monitoring systems (shoreline observers, turbine instrumentation) intended to detect attraction, avoidance, or change in behavioral state associated with turbine noise or prey aggregation around the device.

The third is an Automatic Identification System (AIS) receiver deployed on Admiralty Head to monitor vessel traffic in the project area. This information provides helpful context for noise and marine mammal monitoring (e.g., Bassett et al., *submitted*). Specifically, marine mammal observations must be stratified by, among other factors, proximity of shipping since marine mammals are expected to demonstrate avoidance to high-intensity (e.g., > 140 dB re 1 $\mu$ Pa) ambient noise. Additionally, characterization of turbine noise described in the acoustic monitoring plan will be most effective when vessels are not underway in close proximity to the project. The effective range for the AIS receiver is approximately 20 km, which will detect vessel traffic beyond a distance where it would significantly elevate ambient noise levels.

#### **4.2 Vessel Monitoring**

Vessel-based monitoring excels at collecting data over broad spatial scales. For example, vessel-based monitoring has been used to characterize ambient noise variability in the project area. Noise data are collected either by hydrophones cabled back to a survey vessel or autonomous near-surface drifters. Both techniques are suitable for collecting ambient noise data without contamination from pseudo-sound (turbulent eddies shed by the hydrophones, Bassett et al., *in prep*). Similar techniques will be used for characterizing the noise produced by turbines. Pre-installation monitoring also utilized a vertical line array of hydrophones to study diving patterns of killer whales by localizing their vocalizations (Tollit et al., 2010). This type of line array provides information about the depth and range of a noise source and may be used for post-installation monitoring of Southern Resident killer whales. When combined with information from shoreline observers, the bearing (as well as depth and range) to a Southern Resident could be estimated, as well.

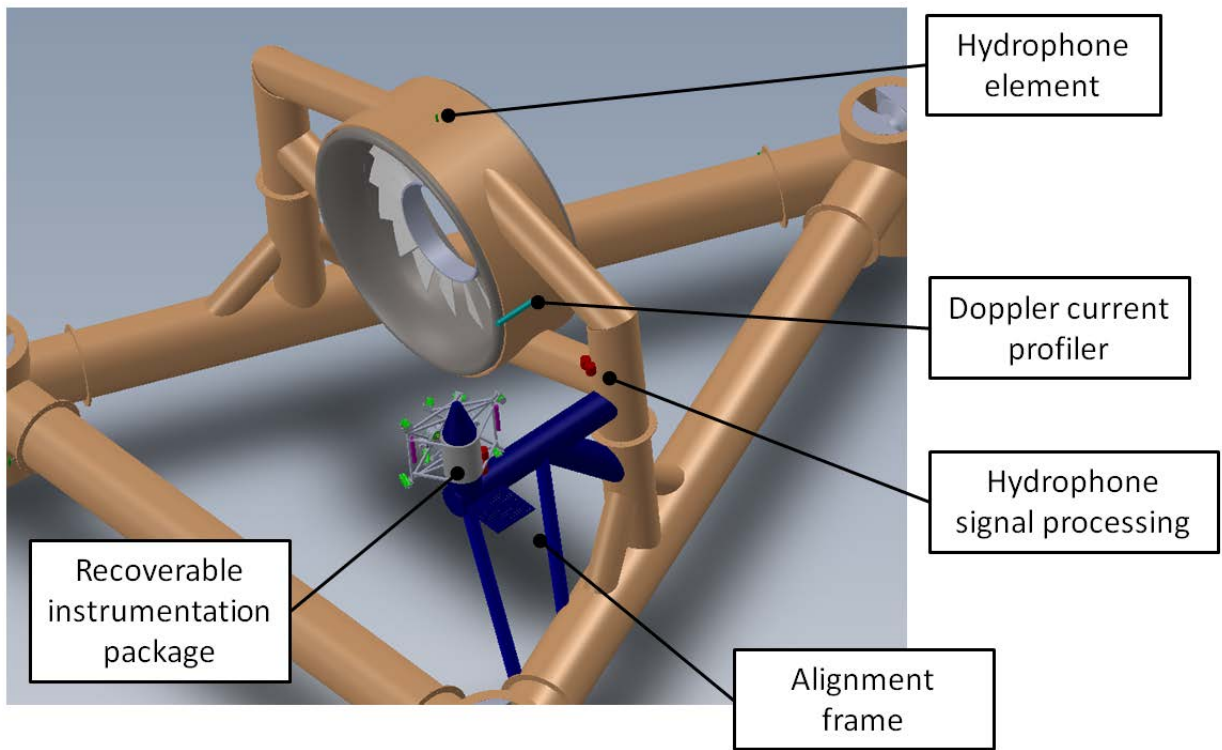
While pre-installation studies have used vessel-based Doppler profilers to characterize the tidal resource intensity (Palodichuk et al., *in prep*), these tools are not well-suited to studying turbine wakes due to beam spreading. At turbine depth, the four beams of a profiler bracket a circle 50 m in diameter, which is much greater than the width of the turbine wake (approximately 6 m). Measurements of wake and inflow conditions will be provided by instrumentation on the turbine foundation.

Pre-installation studies included the successful deployment of ROVs from surface vessels for benthic habitat monitoring (Greene, 2011). In order to be effective, ROV surveys must be timed around diurnal inequalities with protracted weak currents (e.g., < 1 m/s). This can provide several hours of operating time. ROVs will be used to survey the turbine foundation and installation/maintenance anchors to characterize the benthic habitat these provide, as well as verify the hypothesis that the turbine wake and foundation will not result in accumulation of fine-grained sediments.

#### **4.3 Turbine Monitoring**

Instrumentation deployed on the turbine foundation is best suited to long-term monitoring efforts with higher data and power requirements than pre-installation, autonomous monitoring to characterize a site (e.g., Sea Spider instrumentation packages, Polagye and Thomson, *submitted*). Monitoring systems deployed on the turbine foundation represent the most ambitious aspect of project monitoring and, potentially, the source of highest-value monitoring data. Turbine monitoring systems are grouped into two categories – instruments that will be deployed for the duration of the demonstration project (fixed) and

instruments that will be periodically recovered for maintenance (recoverable). Unless otherwise specified, instruments are connected to shore via the turbine power/data cables and can be controlled and reconfigured (e.g., changes to duty cycle, sampling rate) from shore.



**Figure 5 – Conceptual instrumentation layout (fixed and recoverable). Instrumentation shown on a 4<sup>th</sup> Generation turbine (higher rotor solidity than 6<sup>th</sup> Generation turbine). The general dimensions of the subsea based and support structure are approximately constant between technology generations for the same rotor size.**

Fixed instrumentation on each turbine will include:

- Outward looking Doppler profilers to characterize turbine inflow conditions and the wake and upward looking Doppler profilers (one turbine) to characterize the vertical structure of the inflow velocity and device wake. These will be mounted at hub height on the outer surface of the turbine shroud. This information will be used to characterize turbine efficiency and provide context for environmental monitoring.
- Broadband hydrophones to monitor turbine noise and marine mammal vocalizations. Depending on technology feasibility, either a localizing array will be deployed or a single hydrophone. A localizing array would be able to determine the distance and bearing to vocalizing marine mammals in the project area. Average localization errors are unlikely to exceed 10 m at a distance of 300 m. If it is not feasible to deploy a localizing array on the turbine foundation, a vertical line array from a surface vessel could provide similar information in a “rapid response” mode. The information from the hydrophone(s) will be used to monitor for long-term changes in the turbine noise signature (as could accompany wear on the bearings or biofouling of the blades) and to detect vocalizing marine mammals at distances on the order of several kilometers. This information would be used to initiate rapid-response observations for Southern Resident killer whales.



- Turbine performance sensors monitored by OpenHydro’s Supervisory Control and Data Acquisition (SCADA) system. Monitoring includes generator voltage/current, rotor rotational rate, structural vibrations, and generator temperature. While not directly related to environmental monitoring, pre-installation acoustic estimates (Polagye et al., *in prep*) suggest that observations of turbine noise and marine mammal responsiveness to turbine noise should be stratified by power generation state.

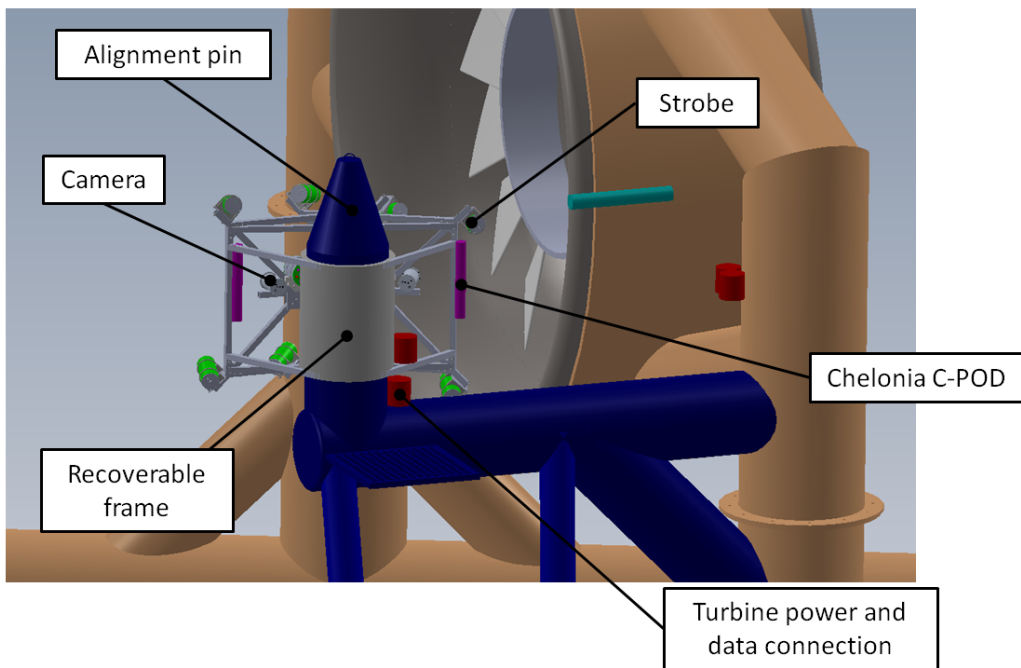
The fixed instrumentation system is being designed with limited expansion capability in order to allow other instrumentation to be incorporated ahead of project deployment. This could, for example, include an instrumentation package being developed by the National Renewable Energy Laboratory that would augment turbine performance monitoring.

Recoverable instrumentation on each turbine will include:

- Two stereo imaging systems to monitor the turbine rotor and species behavior at close range to the turbine. One stereo imaging system looks across the turbine rotor and has the greatest potential to determine taxonomy (e.g., species identification). The second looks at the turbine rotor and has the greatest potential to image interactions between marine animals and the turbine. Because these systems will require artificial illumination, their functional range is likely to be limited by the presence of biological “snow” to somewhere between 3 and 7 m. Field tests of this system are planned for summer 2012 to reduce this uncertainty. Additionally, because of the potential for behavioral disturbance caused by artificial lighting and high data bandwidths (100 MB/s at 10 Hz frame rate), this system will not be generally configured to operate continuously. Higher duty cycles will be enabled when Southern Resident killer whales might venture into the field of view for the camera systems. Bioaccumulation on the camera optical ports will require maintenance interventions every 3-6 months (less intervention in winter months, more intervention in summer months).
- Water quality (one turbine) as part of a long-term study of dissolved oxygen levels in Puget Sound by the Washington Department of Ecology. Oxygen sensors drift over time and require recalibration every 3-6 months. This pilot project will not be affected dissolved oxygen concentrations, but this partnership is intended to demonstrate the long-term potential for integrating tidal energy projects with Ocean Observing Systems (OOS).
- Click detectors (stand-alone) to monitor cetacean echolocation activity (principally harbor porpoise). These instruments (C-PODs) will not be connected to turbine power and data systems. Instruments will be deployed in redundant pairs. On board battery and storage capacity is sufficient for 3+ months of deployment. The detection radius for echolocation activity is approximately 200 m. Data from C-PODs will be compared to the 3+ year pre-installation baseline data for harbor porpoise activity to evaluate whether turbine operation reduces echolocation activity or alters factors underlying echolocation activity (day/night, current speed, season, etc.). Click train data from C-PODs also can be used to identify “landmark” activity, periods in which marine mammals are echolocating directly at the C-POD (or the structure it is attached to). This information will be compared to the rate of pre-installation “landmark” encounters for Sea Spider instrumentation packages to evaluate whether harbor porpoise are taking direct notice of the turbine during operating and/or idle periods.
- Fish tag receivers (stand-alone) to monitor for the presence of tagged fish in the project area. These instruments (Vemco receivers) will not be connected to turbine power and data systems.

Instruments will be deployed in redundant pairs. On board battery and storage capacity is sufficient for 6+ months of deployment. The detection radius for tags is approximately 250 m. Information from the Vemco receivers will be combined with observations from the stereo camera systems to evaluate whether the species observed by the cameras are consistent with tagged species in the project area. The District does not intend to conduct dedicated tagging studies, because the extent of Admiralty Inlet in comparison to the detection radius for the receivers means that tag detection is, at best, opportunistic. Pre-installation acoustic estimates (Polagye et al., *in prep*) suggest that fish are unlikely to detect turbine noise at ranges beyond a few hundred meters.

The recoverable instrumentation system (Figure 6) is being designed with limited expansion capability in order to allow new instruments to be incorporated into monitoring plans after deployments of the turbines. Similarly, if fixed instrumentation were to become inoperative, a replacement could be incorporated into the recoverable package.

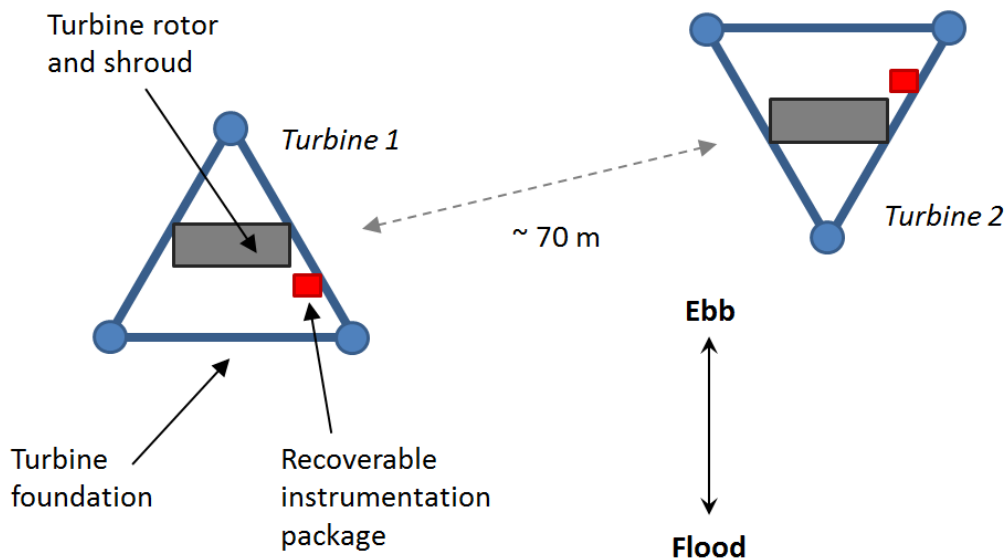


**Figure 6 – Recoverable instrumentation detail**

Because of the water depth and current velocities, the recovery and redeployment of instrumentation is one of the defining technical challenges of this project. Specifically, the challenge is that some instrumentation will require more frequent maintenance than the turbine itself, must be deployed in close proximity to the turbine rotor, and must be cabled to shore. An instrumentation package is, therefore, needed that can be recovered to the surface, serviced, redeployed, and reconnected to turbine power and data systems without the use of divers (safety consideration) or work-class ROV (limited availability in Puget Sound). The stereo imaging system bandwidth (100 MB/s at 10 Hz) necessitates a fiber optic connection to shore. A wet-mate power (2 conductors) and fiber (4 fiber optic channels) connector is manufactured by ODI and is used in ocean observing systems. The connectors are expensive (> \$70k per connector pair) and have a limited number of connect/disconnect cycles (~100). All recoverable instrumentation will be connected to the grey frame shown in Figure 6 with data and power connections aggregated to a single wet-mate connection. During redeployment, this frame will mate with the blue

alignment pin on the turbine foundation, then be secured by electro-mechanical clamps, and reconnect to turbine power/data systems. During redeployment, a guide line will run from the apex of the alignment pin to a surface vessel. The recoverable package will be negatively buoyant, such that its rate of descent is controlled by a winch on the surface vessel. Several engineering options are being pursued to implement this strategy.

Because recovery of the OpenHydro turbines requires unobstructed access to the rotor face on the apex side of the foundation tri-frame (upper right portion of Figure 5), the recoverable instrumentation package can only be deployed to one side of the turbine rotor. In order to monitor upstream and downstream conditions on both ebb and flood, the two turbine foundations will be deployed in a mirrored configuration (i.e., one foundation will be rotated 180 degrees relative to the other, as shown in Figure 7). Several options were considered that would allow instrumentation to be deployed at hub height on both sides of the turbine rotor, but all presented an unacceptable increase in technical risk and cost (e.g., potential that the turbines could not be recovered using the approach proven in the Bay of Fundy; monitoring system structural requirements driving overall turbine design requirements).



**Figure 7 – Turbine and monitoring system arrangement**

## 5 Post-Installation Monitoring Plans

All post installation monitoring plans are described in terms of specific hypotheses, the tools and analytical approaches that will be applied, and a discussion of why these are appropriate hypotheses to test.

### 5.1 Benthic Habitat Monitoring Plan

**Hypothesis 1:** *The turbine foundation and installation/maintenance anchors will be colonized and provide artificial habitat that supports different benthic communities than are currently present in the Project area.*

Pre-installation surveys (Greene, 2011) have characterized the benthic habitat in the Project area as cobbles and boulders, colonized by barnacles, sponges, and algae. The turbine foundation and installation/maintenance anchors will have considerably greater vertical relief (e.g., turbine shrouds will extend to 13 m above the seabed) and may support different benthic communities. Anecdotal reports from

tidal energy projects in Europe indicate that turbine foundations are often colonized within a year of deployment. Information about how this project affects the local benthic community structure would provide information about how a larger array might affect the community and guidance for engineering refinements to foundations that promote desirable benthic communities (potential benefit) and minimize undesirable benthic communities (potential impact).

Surveys of the benthic community around the turbine foundation and installation/maintenance anchors will be conducted by ROV deployed from a surface vessel. Surveys will be timed around diurnal inequalities that provide extended periods (i.e., multi-hour) when currents are weak enough to operate an ROV. Surveys will be conducted every three months for the first year of project operation to characterize the colonization of the foundation and anchors and the recovery rate for areas of the seabed disturbed during installation activities.

***Hypothesis 2: The presence and operation of the turbines and installation/maintenance anchors will not result in accumulation of fine grained sediments.***

Pre-installation surveys indicate that the seabed in the project area is predominantly scoured of fine-grained sediments due to the strong tidal currents in the project area. Because turbidity is relatively low in Admiralty Inlet (Polagye and Thomson, 2010) and the currents reverse direction every six hours no net accumulation of fine-grained sediments are expected in the turbine wake or around turbine structures. Accumulation of fine-grained sediments where none presently exist would alter benthic communities and could be environmentally significant (i.e., rise to the level of a change) for a large-scale installation.

During the ROV surveys to characterize colonization of the turbine structures, the ROV will also visit several “monuments” on the seabed within the wake region to confirm the hypothesis that net sediment deposition will be insignificant. Similar data will be collected around the base of the turbine foundation and anchors in the course of the colonization studies.

## **5.2 Acoustic Monitoring Plan**

The acoustic monitoring plan is intended to verify the suitability of assumptions made in a pre-installation acoustic estimate (Polagye et al., *in prep*) and inform the spatial and temporal extent for marine mammal monitoring activities.

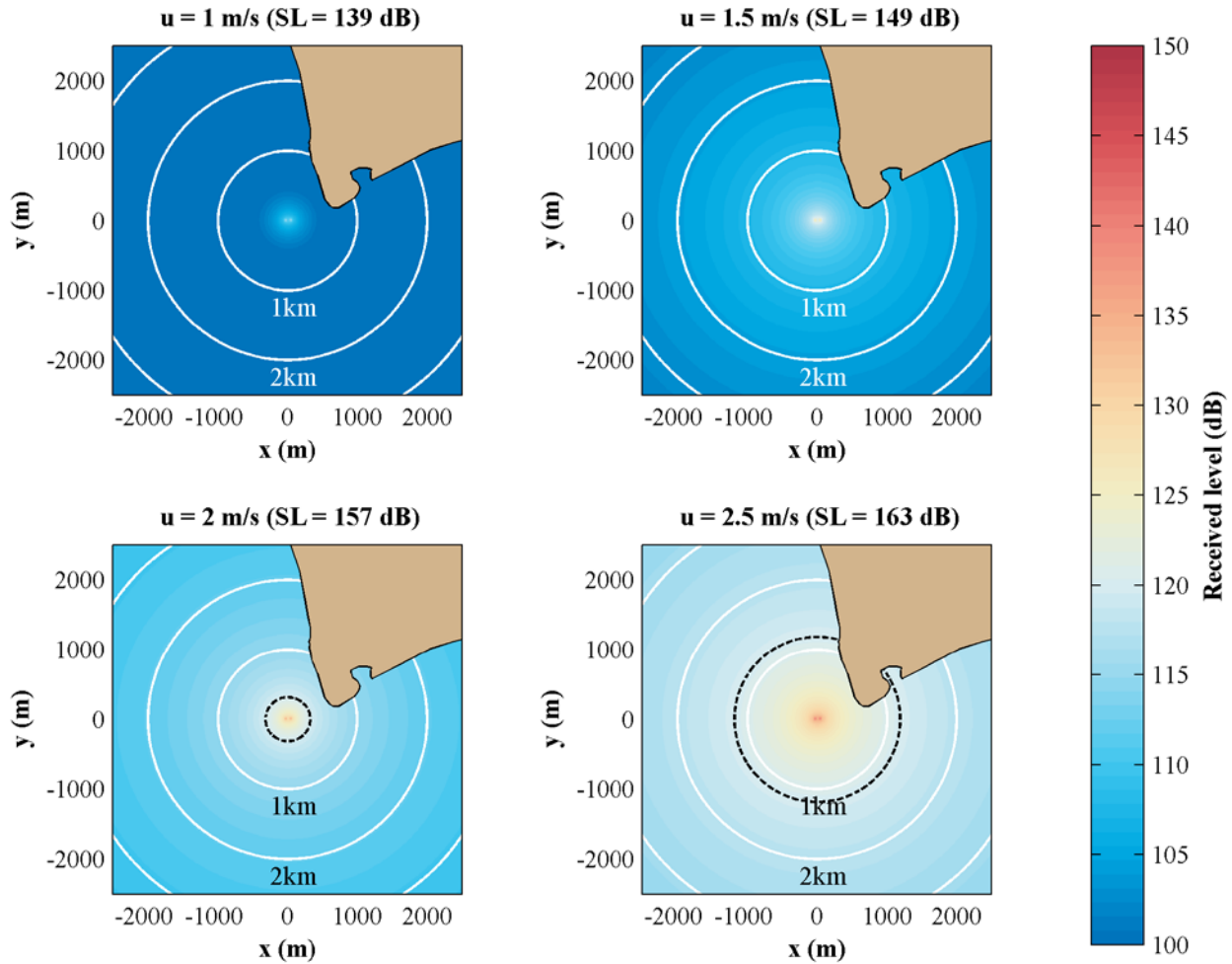
***Hypothesis 1: Turbine noise will vary with power generation state***

Turbine noise is likely to vary with power generation state (i.e., more noise will be produced when the turbines are closer to their rated capacity than around cut-in speed). However, no studies to date have rigorously assessed this relation. Pre-installation estimates indicate that if turbine noise does vary with power generation state (as shown in Figure 8), the extent of marine mammal responsiveness to turbine noise will also be a strong function of power generation state. Understanding time-varying nature of turbine noise is central to evaluating the acoustic effects for large arrays and informing engineering design decisions that could enable quieter turbines.

Turbine noise will be characterized using drifting hydrophones deployed at a range of distances from the turbines at different tidal velocities (e.g., 1.5, 2.0, and 2.5 m/s). Surveys will be conducted during early morning hours, when vessel traffic in Admiralty Inlet is at a minimum (Bassett et al, *submitted*). The survey sequence will involve drifting hydrophone measurements with both turbines operating, engaging the brake on one turbine to measure the sound from a single device, engaging the brakes on both turbines to measure ambient noise in the absence of turbine operation, and then releasing the brakes in sequence to

return to the initial operating state. In doing so, any acoustic transients associated with engaging or disengaging the brakes will also be characterized.

Pre-installation estimates (Polagye et al., *in prep*) indicate that characterizing turbine noise will be more successful during currents exceeding 2 m/s and should be concerned within several hundred meters of the turbine. Figure 11 shows the percentage of one-third octave bands likely to be detected at different inflow conditions (and, comparably, power generation states).

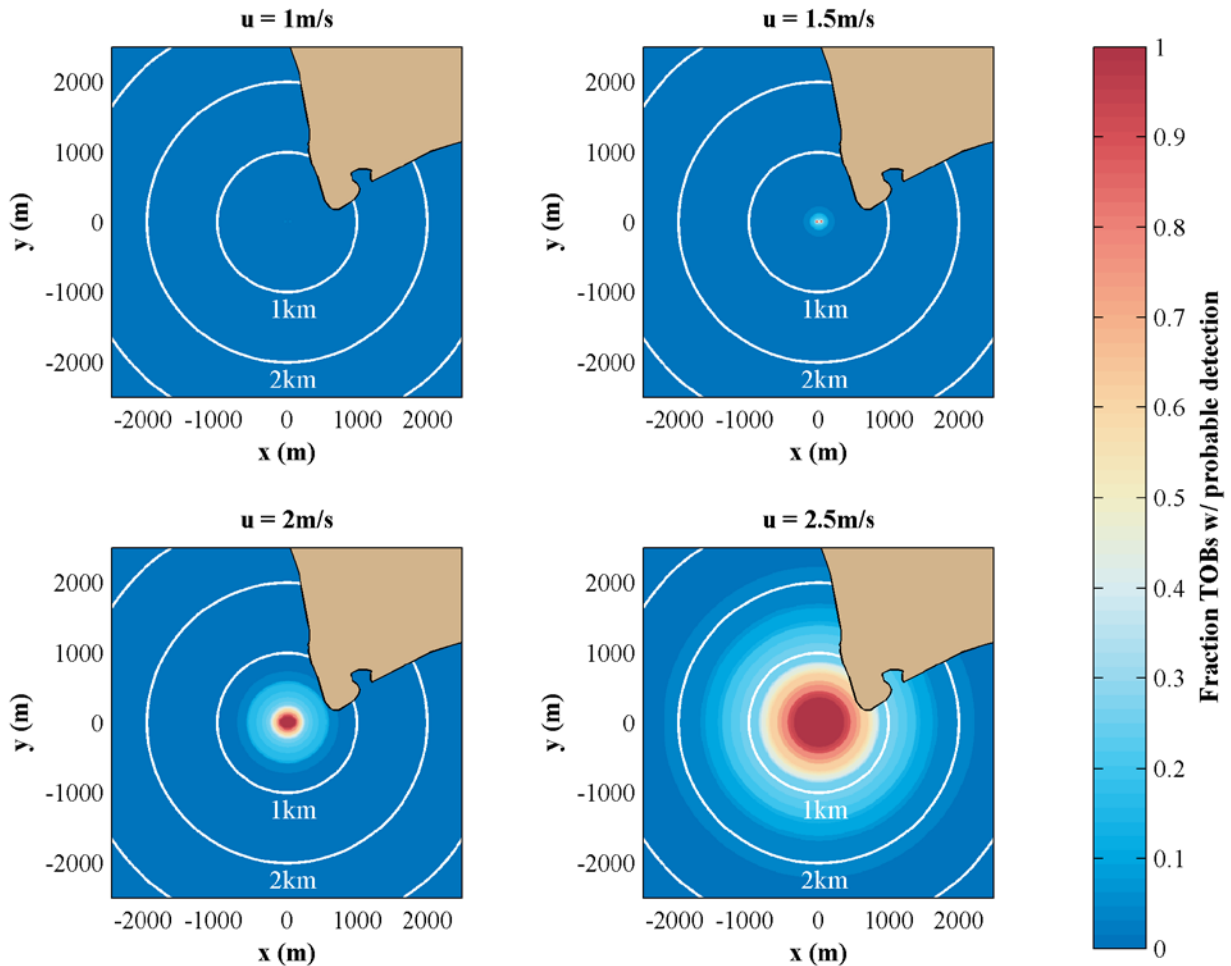


**Figure 8 – Broadband (25 - 25 000 Hz) received levels at four inflow velocities (30 m depth relative to surface). Dashed black contour denotes the 120 dB isobel (regulatory harassment threshold at this site). (Polagye et al., *in prep*)**

***Hypothesis 2:*** Turbine noise may demonstrate a long-term variation due to wear on bearings or biofouling of the rotor and shroud.

If, over the time, the bearings on the turbine experience wear or the rotor and shroud are fouled (in spite of anti-fouling coatings), the acoustic signature of the turbine may vary. Information about long-term trends in noise is needed to evaluate acoustic effects over the operating life for a commercial project (nominally 25 years). While this pilot project will be substantially shorter in duration at five years, this time frame does correspond to expected maintenance intervals and information about trends in the acoustic signature of a device will be instructive.

During the acoustic characterization study, received levels for the drifting hydrophones will be correlated with received levels recorded by the fixed hydrophones on the turbine foundation. Because these fixed hydrophones will also detect “pseudo-sound” associated with turbulence, flow shields will be required. Turbine noise recordings will be archived on a low duty cycle (e.g., < 10%) and used to assess trends on an annual basis (stratified by power generation state and proximity of shipping).



**Figure 9 – Percentage of one-third octave bands detected relative to ambient noise (at least 75% probability, 25 – 25 000 Hz) at four inflow velocities (30 m depth relative to surface). (Polagye et al., *in prep*)**

### 5.3 Near-turbine Monitoring Plan

Note: the hypotheses and specific approaches described here are presently under active development, pending the outcome of stereo-camera instrumentation trials during the summer of 2012.

**Hypothesis 1:** *The turbine may attract aquatic species due to the area of refuge offered by the low-velocity wake.*

Observations of the OpenHydro turbine at EMEC have shown that fish (specifically, Pollock) aggregate in the turbine wake during low-velocity conditions because this offers an energetically preferable area of refuge. As water velocity increases, fish leave the area, either for energetic reasons or because of the

acoustic stressor. Understanding the attraction of aquatic species (predators and prey) to turbines is needed to evaluate environmental impacts for large-scale development.

The primary monitoring tool will be the stereo cameras deployed on the turbine foundation, though some supplemental information may be provided by Vemco receiver detections from outside the cameras' field of view. The need to periodically maintain these cameras (biofouling, repositioning) is the principal driver for the recoverable instrumentation package on the turbine foundation. The stereo-camera pair oriented to look across the rotor has the greatest potential to classify targets at the species level (i.e., a lateral view of a fish moving with the currents or holding station against the currents). Observations will be duty-cycled in order to limit the behavioral changes associated with artificial lighting and to provide a manageable data stream for analysis (at maximum frame rates, each stereo camera pair generates 250 MB of data per second). Adaptive Management will be employed, post-installation, to determine an optimal duty cycle and duration of measurements.

***Hypothesis 2: Aquatic species are unlikely to pass through the rotor or open center during turbine operation.***

Video observations of the OpenHydro turbine at EMEC have not shown aquatic species (fish or marine mammals) to pass through the rotor or open center during turbine operation. Passage through the turbine during operation poses a risk of blade strike. Active acoustic observations of the Ocean Renewable Power Company turbine in Eastport, Maine indicate that smaller fish may swim through the turbine during all operating states. The differences between these observations may be associated with the species involved or type of device. Certainly, concern over potential injury or mortality associated with blade strike represents a critical uncertainty associated with potential environmental impacts of tidal energy development.

The primary monitoring tool to address the hypothesis that aquatic species are unlikely to pass through the rotor (based on the experience testing a similar turbine at EMEC), will also be evaluated using stereo cameras. This monitoring will utilize the camera pair directed towards the turbine rotor. From this vantage point, species identification is unlikely (e.g., frontal images of fish), but the portion of the turbine rotor in the field of view will be maximized. As for the first hypothesis, the most appropriate duty cycle and duration of observation will be determined through Adaptive Management with resource agencies.

#### **5.4 Marine Mammal Monitoring Plan**

Note: the hypotheses and specific approaches described here are presently under active development.

***Hypothesis 1: Marine mammals may respond to the acoustic stressor through attraction, avoidance or change in activity state.***

Marine mammal behavioral responsiveness to noise is a well-known, but not well-understood in terms of relating a particular noise to a particular response (e.g., as discussed in Southall et al., 2007). The acoustic stressor from project operation is, however, likely to be the first cue associated with the project that is detected by marine mammals. The zone of noise detection establishes an upper bound on the zone of responsiveness. As described in Polagye et al. (*in prep*), given assumptions regarding the time variation of turbine noise and pre-installation measurements of ambient noise, the zone of probable detection extends no further than a few hundred meters around the turbines for mid-frequency cetaceans, high-frequency cetacean, and pinnipeds. The zone of detection for low-frequency cetaceans is likely to be somewhat greater, but cannot be estimated with certainty due to a lack of audiogram information for this class of marine mammal.

Marine mammal responsiveness to turbine noise will firstly be evaluated by shoreline observers positioned on Admiralty Head. Observations will focus on identifying behavioral responses (attraction, avoidance, or change in activity state) within the zone of detection for turbine noise. Initially, the zone of detection will be as established by the pre-installation estimate (Polagye et al., *in prep*), but will be updated once information is available from post-installation noise characterization (§ 5.2). Data from observations will be stratified by turbine power generation state, time of day, and activity state when marine mammals enter the zone of detection. The duration and frequency of shoreline observations will be developed through collaborate discussions with resource agencies and may be modified, post-installation, through Adaptive Management.

***Hypothesis 2:*** *Southern Resident killer whales may respond to the acoustic stressors or prey aggregations through attraction, avoidance, or change in activity state. However, they are unlikely to interact directly with the turbines. Their endangered and iconic status warrants special consideration.*

Southern Resident killer whales are an endangered and iconic species in Puget Sound. In recognition of this, the Marine Mammal Monitoring Plan allocates a higher intensity of effort to observing their behavioral response to the turbines than other marine mammals. Information about how Southern Residents interact with tidal turbines must be established before larger-scale or longer-term projects could be considered in Admiralty Inlet. While pre-installation studies indicate that interaction with a tidal turbine is unlikely to result in significant injury or mortality (Carlson et al., 2012), behavioral changes are also of concern.

Monitoring of Southern Resident killer whales will occur throughout the project lifetime and utilize shore-line observers, localizing hydrophones, and the stereo-camera system. These tools will be utilized in a rapid-response mode when Southern Residents are transiting through Admiralty Inlet. Based on pre-installation monitoring (Wood et al., 2010), ~40 transits occur each year. Rapid responses would likely be possible for 50% of these and would be informed by existing sighting networks and detections of Southern Resident vocalizations by the shore-based hydrophone in Port Townsend or the hydrophones on the turbine foundations. In rapid-response, localizing hydrophones (either on the turbine foundations or deployed from a vessel as a line array) would be used to track Southern Residents as they pass through the zone of detection. Passive acoustic data would also be post-processed if a transit is reported after the fact (i.e., passive acoustic data will be archived). Further, the stereo-camera systems would be operated at higher duty cycle to investigate whether Southern Residents are interacting directly with the turbine. Additionally, information from the near-turbine monitoring plan will identify potential prey aggregations that might serve to attract Southern Residents to the turbines. Finally, shoreline observers would provide high-accuracy information about individual's positions and behavioral states while within the zone of detection. The data collected will be analyzed to identify behavioral responses and modifications to the monitoring approach will be developed collaboratively with resource agencies through Adaptive Management.

***Hypothesis 3:*** *Harbor porpoise may respond to the acoustic stressors or prey aggregations through attraction, avoidance or change in activity state. Their high rate of occurrence in the Project area provides an opportunity to conduct studies with greater statistical power than for other marine mammals.*

Pre-installation monitoring indicates a high level of porpoise activity at this location (Tollit et al., 2010; Cavagnaro et al., *in prep*). Consequently, observations of harbor porpoise may have greater statistical power to detect behavioral change. First, trends underlying echolocation activity (time of day, tidal state, season) will be compared pre- and post-installation using Generalized Linear Models (GLM) to identify



changes in echolocation activity beyond bulk statistics, such as Detection Positive Minutes per day. Assessing changes in the importance of underlying factors may provide greater statistical power to detect change than bulk statistics, as harbor porpoise in the Project area do not demonstrate avoidance behavior around the passenger ferry, even though received levels associated with ferry passage exceed 140 dB re 1 $\mu$ Pa. Second, click train data from C-PODs also can be used to identify “landmark” activity, periods in which marine mammals are echolocating directly at the C-POD (or the structure it is attached to). This information will be compared to the rate of pre-installation “landmark” encounters for Sea Spider instrumentation packages to evaluate whether harbor porpoise are taking direct notice of the turbine during operating and/or idle periods. Finally, shoreline observations associated with the first hypothesis will be used to evaluate the detection probability for C-PODs (e.g., after Kyhn et al., 2012). C-PODs will be deployed for the duration of the pilot project and recovered every 3-6 months as part of the maintenance cycle for the recoverable instrumentation package.

### **Acknowledgment**

This summary document is the product of a number of helpful discussions with various individuals from resource agencies and industry. Specific thanks to Keith Kirkendall, Marla Holt, Brad Hanson, Kurt Fresh, Alicia Bishop and others at NOAA for their input to developing a coherent and effective monitoring strategy for this pilot project. Thanks also to Jason Wood and Dom Tollit for assistance in defining the capabilities for marine mammal monitoring.

### **References**

- Basset, C., B. Polagye, M. Holt, and J. Thomson (submitted) A vessel noise budget for Admiralty Inlet, Puget Sound, WA (USA), Submitted to *J. Acous. Soc. Am.*
- Basset, C., J. Thomson, and B. Polagye (*in preparation*) Contribution of bedload transport to ambient noise in a high-energy environment.
- Carlson, T., J. Elster, M. Jones, B. Watson, A. Copping, M. Watkins, R. Jepsen, and K. Metzinger (2012) Assessment of Strike of Adult Killer Whales by an OpenHydro Tidal Turbine Blade, Pacific Northwest National Laboratory technical report, PNNL-21177 (prepared for U.S. Department of Energy), February, 2012.
- Cavagnaro, R., B. Polagye, J. Wood, and D. Tollit (*in preparation*) Harbor porpoise echolocation activity in Admiralty Inlet, Puget Sound, WA.
- Denardo, C., M. Dougherty, G. Hastie, R. Leaper, B. Wilson, and P.M. Thompson (2001) A new technique to measure spatial relationships within groups of free-ranging coastal cetaceans, *J. Appl. Eco.*, 38: 888-895.
- Golder Associates, Inc. (2011) Geophysical investigation for Admiralty Inlet turbine project, Prepared for Public Utility District No. 1 of Snohomish County (Snohomish PUD), September 6, 2011.
- Greene, H.G. (2011) Habitat characterization of the SnoPUD turbine site – Admiralty Head, Washington State, Technical Report, June 1, 2011.
- Kyhn, L., J. Tougaard, L. Thomas, L.R. Duve, J. Stenback, M. Amundin, G. Desportes (2012) From echolocation clicks to animal density—Acoustic sampling of harbor porpoises with static dataloggers, *J. Acoust. Soc. Am.*, 131(1), 550-560.

Landau Associates (2011) Preliminary geologic characterization, proposed tidal turbine site, Island County, Washington. Technical Memorandum prepared for Sound and Sea Technology and Snohomish County PUD, September 6, 2011.

Palodichuk, M., B. Polagye, and J. Thomson (*in preparation*) Resolving spatial velocity gradients at tidal energy sites.

Polagye, B., M. Kawase, and P. Malte (2009) In-stream tidal energy potential of Puget Sound, Washington, *Proc. IMechE, Part A: J. Power and Energy*, 223(5).

Polagye, B. and J. Thomson (2010) Admiralty Inlet water quality survey report: April 2009 – February 2010, Northwest National Marine Renewable Center, University of Washington, Seattle, WA.

Polagye, B., B. Van Cleve, A. Copping, and K. Kirkendall (eds.) (2011) Environmental effects of tidal energy development: Proceedings of a scientific workshop, March 22-25, 2010. *NOAA Technical Memorandum NMFS F/SPO-116*.

Polagye, B. and J. Thomson (*submitted*) Tidal energy resource characterization: methodology and field study in Admiralty Inlet, Puget Sound, US, Submitted to *Proc. IMechE: Part A, J. Power and Energy*.

Polagye, B., C. Bassett, J. Wood, and S. Barr (*in preparation*) Detection of tidal turbine noise: A pre-installation case study for Admiralty Inlet, Puget Sound, Washington (USA).

Southall, B., Bowles, A., Ellison, W., Finneran, J., Gentry, R., Greene, C., Kastak, D., Ketten, D., Miller, J., Nachtigall, P., Richardson, W., Thomas, J., Tyack, P. (2007) Marine mammal noise exposure criteria: initial scientific recommendations. *Aquatic Mammals*, 33 (4).

Tollit D.J., Wood J.D. , Veirs S., Berta S., and Garrett H., Veirs, V., Joy, R., Quick, N., Hastie, G. (2010) Admiralty Inlet Pilot Project Marine Mammal Pre-Installation Field Studies – Final Report to Snohomish Public Utility District, June 15 2010. SMRU Ltd.

Wood, J., D. Tollit, S. Berta, and H. Garrett (2009) Review of historical information and site-specific synthesis – Report to Snohomish Public Utility District, 2009.