

Sharing Information on Environmental Effects – Tethys Knowledge Base and the International Annex IV Initiative

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Recent Developments in Research on the Environmental Effects of MHK Technologies Washington DC April 9th 2013



4/17/2013

Today....

- Environmental effects of marine energy development
 - Addressing information needs nationally and internationally: Tethys and Annex IV
- Information available on *Tethys*: a tour of the website
- Annex IV report:
 - Marine animals and turbine blades
 - Effect of noise on marine animals
 - Removing energy from marine systems
- Future of Annex IV





Environmental Effects of Marine Energy



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- Clear drivers for marine energy development BUT
- Stakeholders have concerns about potential impacts
- Regulatory/consenting processes are not well established

RESULTING IN:

- Need for good information on potential effects
- Sharing of information broadly

THIS

- Lead WWPTO to direct PNNL to develop Tethys (online knowledge management system)
- International community (lead by US) to develop Annex IV





Annex IV – A Concept in Sharing Information and Analyses Internationally

- OES ExCo approved Annex IV in 2009
- Proposed by US; US Dept of Energy as Operating Agent
- Other US federal partners: BOEM, FERC, NOAA
- Seven Annex IV nations: US, New Zealand, Canada, Denmark, Spain, Ireland and South Korea



now available!

Purpose of Annex IV Database



- Annex IV is designed to:
 - "Facilitate efficient government oversight of ocean energy systems development by expanding our baseline knowledge of environmental effects and monitoring methods;
 - "Ensure that existing information and data on environmental monitoring are more widely accessible to those in the industry; national, state, and regional governments; and the public; and
 - "Facilitate knowledge and information transfer".
- The Annex IV database, housed in *Tethys* provides the basis for bringing together information from international sources on:
 - Monitoring results from deployed wave and tidal devices and arrays;
 - Results of research studies in the field, laboratory, and modeling; and

Provides a searchable, accessible, source of that information.

4/17/2013



Home Page



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Tethys is a database and knowledge management system that provides access to information and research pertaining to the potential environmental effects of marine and hydrokinetic (MHK) and offshore wind development. Tethys also hosts data from Annex IV, an international collaboration to gather information on MHK environmental research worldwide





Search Map

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Tethys was created by PNNL to support DOE and OES programs

Easily search the entire site for keywords

Tethys Home

The Environmental Impacts Knowledge Management System (KMS) (dubbed "*Tethys*" after the mythical Greek titaness of the seas) supports the U.S. Department of Energy's Wind and Water Power Program.

As industry, academia, and government seek to develop new renewable energy sources from moving water and offshore wind, potential environmental effects must be evaluated and measured to ensure that aquatic and avian animals, habitats and ecosystem functions are not adversely affected, nor that important coastal and ocean uses are displaced.

Tethys seeks to gather, organize and make available information on potential environmental effects of marine and hydrokinetic and offshore wind energy development. Datasets, supporting documents, and other media are housed within Tethys in support of the following programs:

Marine and Hydrokinetic (MHK) Energy Development: MHK development is moving forward in U.S. and international waters, with projects that include the following devices:

- · Tidal turbines placed in coastal and estuarine areas;
- · Riverine turbines in fast-moving rivers;
- · Wave energy converters in open coastal areas with significant waves;
- · Current turbines in the Gulf Stream; and
- · Ocean Thermal Energy Converters in deep tropical waters.

Please contact us if you would like to contribute any MHK information or research to Tethys.



Search All Tethys
Featured Links:

Final Annex IV Report 2013

News and Current Events

Contributing to Tethys

Annex IV Project Sites Metadata Form

Annex IV Research Studies Metadata Form

Ocean Energy System - Annex IV Experts' Workshop: 1

DOF MHK Webinar Series

Tidal Energy Workshop Report

Wave Energy Workshop Report

West Coast Environmental Protocols







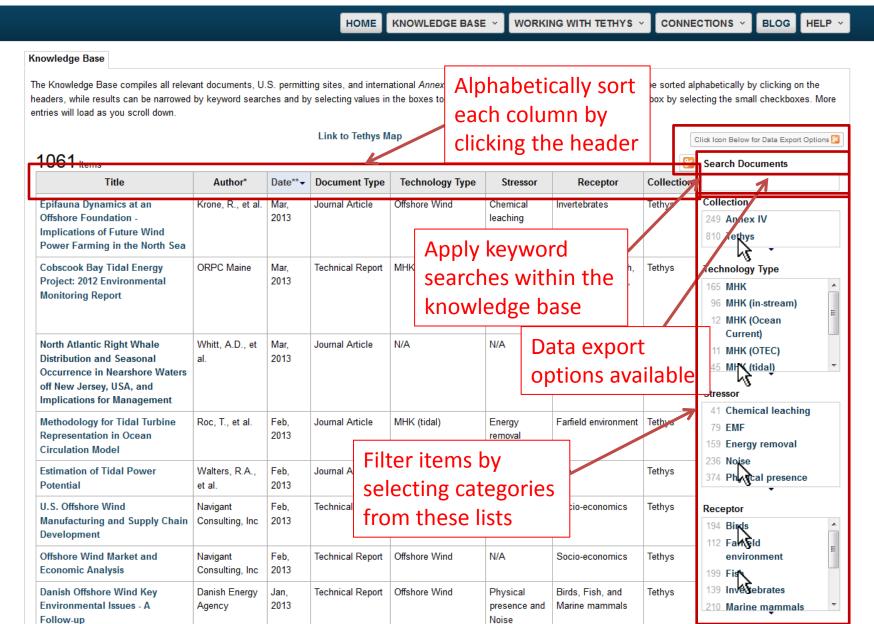




Knowledge Base



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Knowledge Base



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Knowledge Base

The Knowledge Base compiles all relevant documents, U.S. permitting sites, and international Annex IV metadata forms into one table. Columns may be sorted alphabetically by clicking on the headers, while results can be narrowed by keyword searches and by selecting values in the boxes to the right. You can select multiple values for each box by selecting the small checkboxes. More entries will load as you scroll down.

Link to Tethys Map Click Icon Below for Data Export Options 33 11 Media **Search Documents** Title Author* Date** ▼ Document Type **Technology Type** Stressor Receptor Collection Collection 1☑ Cobscook Bay Tidal Energy **ORPC Maine** Technical Report MHK (tidal) Mar, Energy removal, Invertebrates, Fish, Tethys Project: 2012 Environmental 2013 Physical Marine mammals. 20 Annex IV Monitoring Apport presence, and Farfield environment. \checkmark 11 Tethys Noise and Nearfield habitat West Coast Environmental Klure, J., et al. Technical Report MHK (tidal), MHK EMF, Energy Birds, Invertebrates, Tethys Aug, 1√ Technology Type Protocols Framework: 2012 (wave), and Offshore removal, Fish. Marine mammals. 14 MHK Baseline and Monitoring Wind Physical Nearfield habitat, and 1 MHK (OTEC) Studies presence, and Reptiles \checkmark 11 MHK (tidal) Noise 9 MHK (wave) Admiralty Inlet Final Snohomish Feb. Technical Report MHK (tidal) Chemical Invertebrates, Fish, Tethys 47 Offshore Wind License Application County Public 2012 leaching, EMF, Marine mammals, and **Utility District** Energy removal, Socio-economics 1√ Stressor No. 1 Physical presence, and 5 Chemical leaching Noise 6 EMF Birds and Wave & Tidal McCluskie. Technical Report MHK (tidal) and MHK Birds Dec. Chemical Tethys 13 Energy removal Stream Energy: An A.E., et al. 2011 (wave) leaching. \checkmark 11 Noise **Ecological Review** Energy removal, 15 Physical presence Physical presence, and 2√ Receptor ✓ ^ 6 Birds Select multiple Effects of Tidal Turbine Halvorsen. Aug, Dataset Tethys 8 Farfield Noise on Fish M.B., et al. 2011 categories to environment Fish. Screening Analysis for the Copping, A.E. Aug, Technical Report \checkmark 9 Fish **Environmental Risk** 2011 specialize your search et al. 8 Invertebrates **Evaluation System** nment, 11 Marine mammals Nearfield habitat, and **Environmental Effects of** Physical MHK Energy Reptiles presence, and



Document



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surface); and the

Abstract

ORPC Maine, LLC, a wholly-owned subsidiary of Ocean Renewable Power Company, LLC (collectively ORPC), submits this 2012 Environmental Monitoring Report for Phase I of the Cobscook Bay Tidal Energy Project (Project), in compliance with the Federal Energy Regulatory Commission (FERC) pilot project license P-12711-005. This report represents a significant achievement for the Project and its Adaptive Management Plan and demonstrates improved knowledge of our TidGen™ Power System's installation, operation and interaction with the marine environment.

ORPC is using this licensed pilot project to advance, demonstrate, and accelerate deployment of its tidal-current based marine hydrokinetic energy conversion technology, associated power electronics, interconnection equipment, and environmental monitoring program within a replicable full-scale, interconnected array of devices capable of reliably delivering electricity to the domestic power grid. The Project consists of designing, building, installing and monitoring a commercial-scale array of multiple grid-connected TidGen™ devices on the sea floor in Cobscook Bay off Eastport and Lubec, Maine in two phases.

The implementation of the environmental monitoring pla the marine environment (i.e., limited visibility, high velod Download a PDF start-up, conditioning, and maintenance issues associa instrumentation, especially the components that repres

version of the report

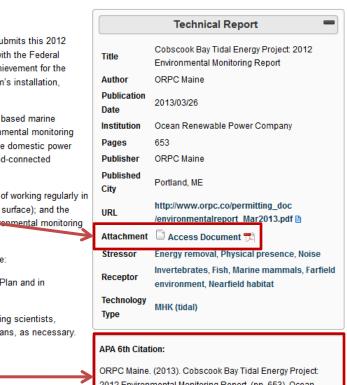
To overcome these challenges ORPC took the following approach to ensure consistency with the Project's license:

- Data was collected in accordance with the approved environmental monitoring plans and Adaptive Management Plan and in conjunction with the installed infrastructure and operational status of the TGU.
- Where deficiencies in equipment and methodologies were identified, ORPC engaged technical advisors, consulting scientists, manufacturer representatives, and qualified inhouse personnel to troubleshoot issues and develop improvement plans, as necessary.

GIS Resources

The project area has the following coordinates:

Automatically generated citation



2012 Environmental Monitoring Report. (pp. 653), Ocean Renewable Power Company.











Map Viewer



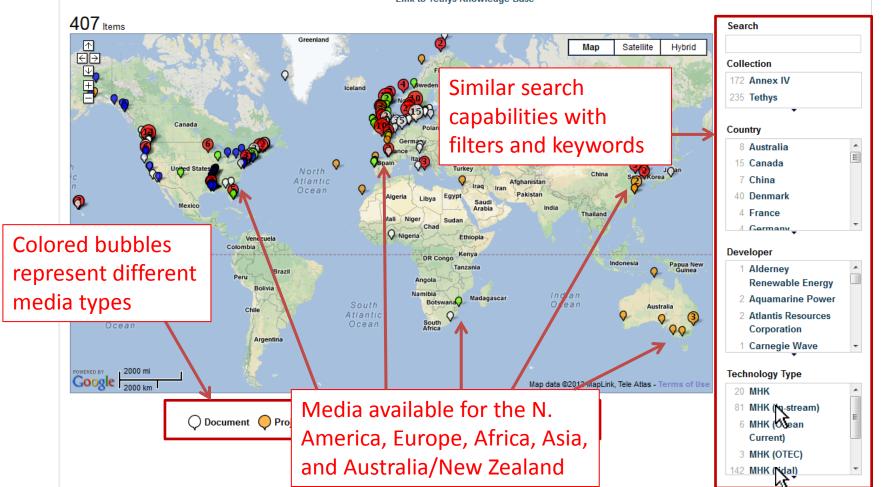
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Map Viewer

The Map compiles all documents, U.S. permitting sites, and international *Annex IV* metadata forms that are associated with a geographic location. This view allows panning and zooming, while results can be narrowed by keyword searches and by selecting values in the boxes to the right. You can select multiple values for each box by selecting the small checkboxes. Clicking on a bubble will open a dialogue box with more information that links to the document page.

Link to Tethys Knowledge Base





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Link to Tethys Knowledge Base 219 Items Search ⊕⊕₩ Map Satellite Hybrid Collection Lawrence 田田 88 Annex IV 131 Tethys St Pierre Quebec City New Miquelo Brunswick Country Minnesota 2 Australia Ottawa Montreal Prince Nova Edward Island Minne Colis 13 Canada Scotia Wisconsin 7 China Vermont Michigan 3 France New Toron Hampshire Milwaukeeo 1 Ghana New York Detroit Massachusetts 5 Iroland lowa Cleveland ode Island Developer Pennsylvania Ohio 1 Alderney Illinois Indiana Philadelphia Renewable Energy New Jersey Columbus Kansas City Indianapolis West 2 Atlantis Resources Delaware Virginia Missouri St. Louis Corporation District of 1 China Guodian Virginia Kentucky Columbia Corporation North Tennessee Carolina Technology Type 2√ Charlotte Map data @2013 Google - Terms of Use 20 MHK \checkmark 81 MHK (in-stream) Document Project Site Research Study US Permitting Site 6 MHK (Ocean Current) 3 MHK (OTEC) **√** + 142 MHK (tidal)



Map Viewer



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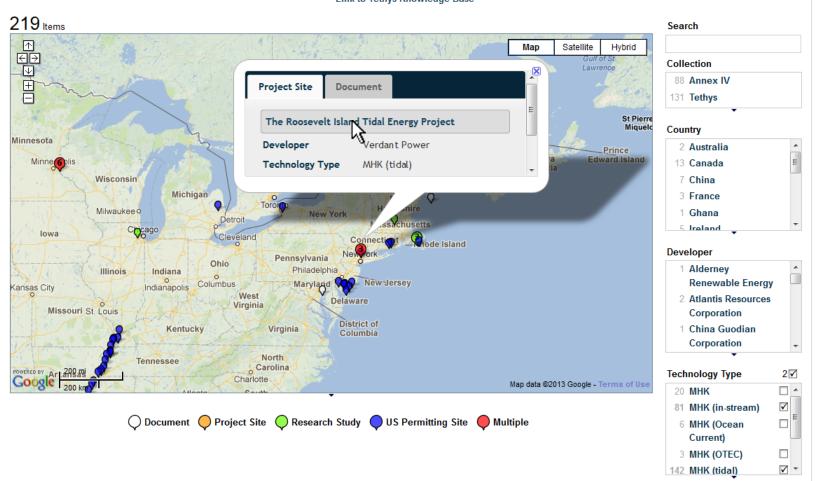
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Link to Tethys Knowledge Base





US Permitting Site



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The Roosevelt Island Tidal Energy Project

The Roosevelt Island Tidal Energy Project

Project Name

The Roosevelt Island Tidal Energy Project

Description

Share

Technology: 3-bladed, horizontal axis turbine: Gen5 Kinetic Hydropower System (KHPS)

Project Scale: Commercial array

Installed Capacity: 1.05 MW

Additional Description: The RITE was developed from 2005-08. The R

- 1. A Project boundary of approx
- 2. Project works consisting of:
 - 1. Thirty 35-kW, 5-meter
 - 2. Ten triframe mounts,
 - 480-volt underwater cables from each turbine to the shoreline switchgear vaults that will interconnect to a control
 room and interconnection points, and

to the FERC website

Access to all documentation

for this project via the link

4. Appurtenant faculties for navigation and operation.

In accordance with the FERC Pilot Project License, the RITE Project will be installed in stages with commensurate environmental monitoring as follows:

Install A: (performed under existing FERC Verdant Order and existing permits): Install two Gen5 Turbines on existing monopiles to verify machine performance targets and further environmental compatibility;

Install B-1: Install a single triframe, consisting of three Gen5 turbines;

Install B-2: Install up to three additional triframes, consisting of up to nine Gen5 turbines;

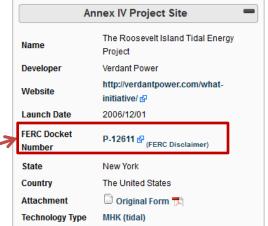
Install C: Install up to six additional triframes, consisting of up to eighteen Gen5 turbines (for total installation of no more than 30 Gen5 turbines).

Location

Water Body: East Channel of East River - tidal strait connecting Long Island Sound to Atlantic Ocean through New York Harbor

Closest City: New York, NY, USA

Depth: 10 meters



International Regulations







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Institution Connections

Institutions

Databases

Institution Connections

International Regulations

This list of contacts is not exhaustive, and will continue to change with time. If you notice any outdated/incorrect information or wish to add a contact, please email jonathan.whiting@pnnl.gov with corrections.

University 🗵	Name 🗵	Department 🗵	Specialization 🗵	Email M	Phone 🗵	Country 🔣	Source M
Aalborg University 🗗	Lucia Margheritini	Civil Engineering	Wave devices	lm@civil.aau.dk	+45 9940-2924	Denmark	Link 🗗
Aalborg University &	Jørgen Nørgaard	Civil Engineering	Overtopping, barriers	jhn@civil.aau.dk	+45 9940-8478	Denmark	Link 🗗
Aarhus University 🗗	Jakob Tougaard	Bioscience	Bioacoustics, neuroethology	jat@dmu.dk	+45 8715-8706	Denmark	Link 🗗
Acadia University 🗗	Graham Daborn	Biology	Estuaries, sediments	graham.daborn@acadiau.ca	+1 902-585-1118	Canada	Link 🗗
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Heriot-Watt University 🚱	Michael Bell	Petroleum Engineering	Fisheries, shellfish	m.c.bell@hw.ac.uk		Scotland	Link
Laval University &	Guy Dumas	Mechanical Engineering	CFD optimization, oscillating-foils	gdumas@gmc.ulaval.ca	+1 418-656-7854	Canada	Link 🗗
Newcastle University &	Melissa Bateson	Ethology	Ethology, cognitive psychology	melissa.bateson@ncl.ac.uk	+44 0191-222-5056	United Kingdom	Link 🗗





Databases

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Database Connections

Database Connections

This list of databases is not exhaustive, and will continue to change with time. If you notice any outdated/incorrect information or wish to add a database, please email luke.hanna@pnnl.gov with corrections.

Database Name	URL M	Description ™		
Marine and Hydrokinetic Technology Database	http://water.energy.gov/hydrokinetic/ 년	The U.S. Department of Energy's Marine and Hydrokinetic Technology Database provides up-to-date information of marine and hydrokinetic renewable energy, both in the U.S. and around the world. The database includes wave, tick current, and ocean thermal energy, and contains information on the various energy conversion technologies, companies active in the field, and development of projects in the water.		
Ocean Renewable Energy	http://www.oceanrenewableenergy.com/ ©	This Knowledge base provides information on the environmental, regulatory and socioeconomic ramifications of offshore renewable energy. Ocean Renewable Energy also provides current news and events regarding offshore renewable energy developments. More information can be found [here http://www.oceanrenewableenergy.com/aggregator/categories/1 &]		
The Multipurpose Marine Cadastre	http://www.marinecadastre.gov/default.aspx &	The Multipurpose Marine Cadastre (MMC) is an integrated marine information system that provides ocean information such as energy potential, offshore boundaries, infrastructure, and biological data sets.		
FERC eLibrary	https://www.ferc.gov/docs-filing/elibrary.asp =	FERC's eLibrary maintains a record of all permit-related documents for sites under FERC's jurisdiction which includes all U.S. MHK projects. Users can conduct general searches or enter a specific docket number to search through the eLibrary. Note the eLibrary contains MHK filings, as well as conventional hydrokinetic project filings. Both hydrokinetic and MHK projects with FERC filings in the eLibrary are denoted by blue pins on the Tethys map.		
The Crown Estate Data Management System	http://data.offshorewind.co.uk/ &	Source for the environmental data and information generated under the Crown Estate's second Licensing Round for offshore renewables.		
SOWFIA	http://sowfia.hidromod.com/ &	The SOWFIA Project (Streamlining of Ocean Wave Farms Impact Assessment) has collected information on the Environmental Impact Assessment activities being carried out at a range of marine renewable energy test and research sites across Europe. The information has been input to the preliminary project-centred Data Management Platform (DMP) which facilitates instantaneous access to the information collected from diverse sources and enables complex enquiries to be carried out.		
MHK Tech Papers Blog	http://mhktechpapers.wordpress.com/ &	The purpose of this blog is to aggregate and organize technical papers as they relate the the development of Marine and Hydrokinetic (MHK) technologies.		
ESPIS	http://www.data.boem.gov/homepg/data_center /other/espis/espismaster.asp?appid=1 &	The Environmental Studies Program Information System (ESPIS) makes all completed BOEM environmental studies reports available on-line as full electronic pdf documents, including images and graphics. Technical summaries of over 700 BOEM-sponsored environmental research projects, as well as full pdf documents of over 2,000 research reports, are available for online full text search.		
4C Offshore	http://www.4coffshore.com/windfarms/ ₽	4C Offshore is an international offshore wind farm database which contains locations, primary stakeholders stakeholders, news and port information for 1299 offshore wind projects in 38 different countries. The database also		





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Regulatory Connections

Asked Questions

This information included in this document does not represent a complete record of the regulatory requirements that all hydrokinetic projects may need, nor is it correct to assume that all the Glossary authorizations contained herein necessarily apply to all such projects. This information is intended for general purposes only and should not be construed as legal advice or a legal opinion. information may change with time, please email jonathan.whiting@pnnl.gov with any corrections for outdated/incorrect information.

Australia

Environmental review is carried out by the Australian Government under the Environment Protection and Biodiversity Conservation Act 1999 @ and requires an environmental assessment. Marine energy projects can obtain consent under the Coastal Management Act 1995 . Consent is then administered at the state level.

Victoria has the most developed process to date, structured by the Department of Sustainability and Environment (DSE) &

Belgium

Planning of activities in the Belgian Part of the North Sea (BNPS) is covered by legal rules and procedures allowing or rejecting a license or concession for the planned activity. The permit system covers concessionary rights and exploitation authorization, including environmental impact assessments (EIAs).

The permit system and the regulating authorities involved reflect the sectoral legal framework. Four permits are required for offshore wind parks: Domain Concession, Environmental permit, Authorization for the construction and operation, offshore cable building permitare. Four authorities are in charge of the permitting procedure: Ministry of Energy, FPS Environment & MUMM & and Flemish planning administration. Offshore cable building permit is delivered by DG Energy within the Federal Ministry of Energy.

Rules and procedures have been adapted to stimulate and facilitate the process. The permit system is clearly defined and reasonable short (less than 1 year).

Canada

The regulation of offshore renewable energy projects in Canada is a shared responsibility between federal and provincial/territorial governments. Provincial/territorial requirements for project approval can vary across Canada. With regards to federal oversight, all projects will need to adhere to applicable federal legislation regardless of where the project is located, e.g., Canadian Environmental Assessment Act, 2012 (CEAA 2012) @; Canadian Environmental Protection Act, 1999 &; Fisheries Act &; Migratory Birds Convention Act &; Navigable Waters Protection Act and Species at Risk Act @. For information on the type of projects that may trigger a federal environmental assessment under CEAA 2012, please refer to the Canadian Environmental Assessment Agency's website @. For a complete list of acts, orders and regulations, please refer to Justice Canada's website @.

China

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 - o 18.1 Scotland
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- 19 United States of America

The 2010 Renewable Energy Law of the People's Republic of China (Amendment) @ outlines a policy to accelerate and promote the development of renewable energy projects. The Ministry of Finance & Jaunched the special funding program for marine renewable energy projects in 2010. The State Oceanic Administration (SOA) & created the Administrative Centre for Marine Renewable Energy (ACMRE) to coordinate and manage the special funding programme for marine renewable energy under the leadership of the Ministry of Finance and SOA. The special funding programme has entered the third round, with a total funding of ¥600 million since 2010. In 2012, the SOA promulgated the National Marine Functional Zoning (2011-2020) to arrange the sea-area utilization including the marine renewable energy devices. The National Energy Administration (NEA) & has drafted the 12th Five-Year Plan for Marine Renewable Energy jointly with SOA since 2011.





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Contact

Contacts

If you would like to contact the Tethys team regarding the site, here's how:

Web site issues:

Scott Butner
Pacific NW National Laboratory
scott.butner@pnnl.gov

MHK and Offshore Wind Program Issues:

Andrea Copping
Pacific NW National Laboratory
andrea.copping@pnnl.gov

Content Questions:

Luke Hanna
Pacific NW National Laboratory
luke.hanna@pnnl.gov

Contributing to Tethys

If you have research, data or information that you would like to be accessible through Tethys, please visit our contributing to Tethys page.





Annex IV Final Report











Environmental Effects of Marine Energy Development around the World Annex IV Final Report

January 2013

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Content of the Report:

- Background on Annex
- Objectives, Case Studies
- Database description
- Data collection methods
- Three Case Studies:
 - Interaction of Marine Animals with Turbine Blades
 - Effects of Acoustic Output from Tidal and Wave Devices on Marine Animals
 - Effects on Physical Systems

Case Study Process



Objectives

- Collect data on the specific interaction from installed marine energy projects;
- 2. Collect additional information from research studies in laboratories, in the field and from models;
- 3. Evaluate information to determine what we know about the interaction; and
- 4. Identify key gaps in data and studies.
- Lines of Evidence
- Conclusions of Analyses
- Data Gaps and Research Needs

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Lines of Evidence - Interaction of Marine Animals with Turbine Blades

Field Studies

- SeaGen observations of marine mammals in Strangford Lough, Northern Ireland (MCT)
- Observations of fish around a tidal turbine in Cobscook Bay, Maine, USA (ORPC)
- Fish passage through a hydrokinetic river turbine on the Mississippi River, USA (Hydro Green)
- Video observations of fish around a tidal turbine at the European Marine Energy Center, Scotland (OpenHydro)
- Acoustic measurements of fish and birds around tidal turbines, New York, USA (Verdant)
- Laboratory Experiments
 - Alden Lab flume studies
 - Conte Lab open water flume studies
- Modeling encounters between Animals and Hydrokinetic Turbines
 - Fish and Harbor Porpoise Encounter Model (SAMS)
 - Estimating the consequences of encounter with a tidal turbine (PNNL/SNL)

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Conclusions: Interaction of Marine Animals with Turbine Blades



- No evidence to date suggests direct interaction of marine mammals, birds, or fish with tidal turbine blades likely to be a significant problem.
- Attraction of animals to turbines could increase risk.
- Data collected from short deployments may not scale to large long term deployments.
- Monitoring around multi-turbine arrays needed

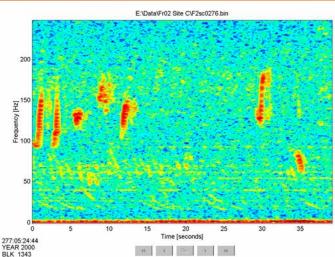


Conclusions: Effects of Acoustic Output from Tidal and Wave Devices on Marine Animals



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- Field deployments need to measure ambient sound and propagation prior to deployment, to measure the sound of the device.
- Dose/response relationships needed to understand potential responses of animals.
- Have to determine if sound from multiple devices is additive or multiplicative
- Acoustic outputs and effects are needed for a range of devices, as well as the anchors, moorings, and foundations.



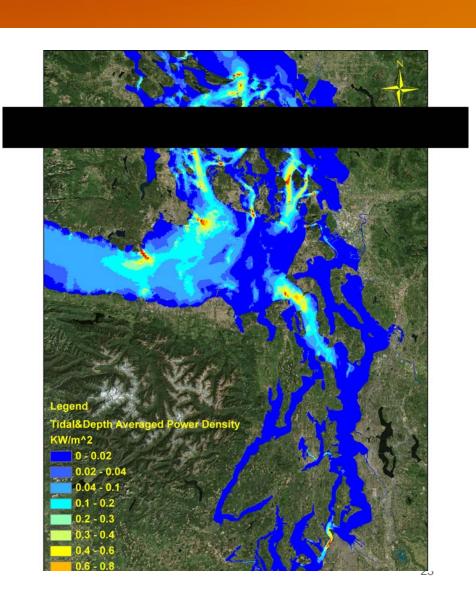


Conclusions: Effects on Physical Systems



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- Great measurements challenges:
 - Model validation
 - Turbulence
 - Effects from specific marine energy devices
 - Coupling nearfield with farfield
 - Cumulative effects
- Nearfield changes not likely at the small pilot but could occur at large scale.
- It is not known if a tipping point exists for farfield changes that might affect the overall waterbody;
- Need better measurements of turbulence and inflow to devices for estimating environmental changes.



The Future of Annex IV



- Input from experts' workshop (Sept 2012) and from discussions with other nations = much remains to be done
- US committed to continuing effort
- Proposal going to OES ExCo in May to request additional 3 years
- Scope:
 - Continued collection and analysis of project monitoring data and research information
 - Push to create Tethys/Annex IV as a commons for collaboration for researchers, developers, regulators, stakeholders
 - More direct hands-on participation by scientists in other nations
 - Support for international conference on environmental effects of marine energy (like EIMR in Orkney April 2012)

Hope to have more and more nations join the effort!

April 17, 2013 24

Thank you for your attention!

Andrea Copping
Pacific Northwest National Laboratory
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206.528.3049

I would like to acknowledge the generous support of the US Department of Energy Wind & Water Power Program, my talented research team at PNNL and our partner organizations, key agencies, and the cooperation of MHK technology and project developers.

